

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference JAB 1526-PCT	FOR FURTHER ACTION see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/EP 00/ 08182	International filing date (day/month/year) 21/08/2000	(Earliest) Priority Date (day/month/year) 24/08/1999
Applicant JANSSEN PHARMACEUTICA N.V.		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 5 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☒ contained in the international application in written form.

☒ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☒ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

☒ None of the figures.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/EP 00/08182

B x I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

Although claims 1-16,23 (at least as far as methods in vivo are envisaged) are directed to a method of treatment or diagnosis of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☒ Claims Nos.: 17-22, 43-45 and in part 46-51
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:

see FURTHER INFORMATION sheet PCT/ISA/210
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

B x II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 17-22, 43-45 and in part 46-51

Claims 17-22, 43-45 and in part claims 46-51 relate to compounds capable of modulating Gas1 activity and/or physiological roles, without however giving a true technical characterization. Moreover, no such compounds are defined in the application. In consequence, said claims are ambiguous and vague, and their subject-matter is not sufficiently disclosed and supported in compliance with Art. 5 and 6 PCT. No search can be carried out for such purely speculative claims, the wording of which is, in fact, a mere recitation of the results to be achieved

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 00/08182

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/12 C12N5/10 C07K14/47 C07K16/18 C12Q1/68
G01N33/50 A61K31/70 A61K38/17 A61P25/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C12N C07K C12Q G01N A61K A61P

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal, STRAND, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X ✓	EVDOKIOU A. & COWLED P.A.: "Growth-regulatory activity of the growth arrest-specific gene, gas1, in NIH3T3 fibroblasts" EXP. CELL RES., vol. 240, 1 May 1998 (1998-05-01), pages 359-367, XP000892323 the whole document ---	23,29, 30,41, 42,46-51
X ✓	LEE T.C. ET AL.: "Myc represses transcription of the growth arrest gene gas1." PROC. NATL. ACAD. SCI. USA, vol. 94, November 1997 (1997-11), pages 12886-12891, XP002133329 the whole document --- -/--	24-40, 46-53

☒ Further documents are listed in the continuation of box C.☐ Patent family members are listed in annex.

* Special categories of cited documents:

A document defining the general state of the art which is not considered to be of particular relevance

E earlier document but published on or after the international filing date

L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

O document referring to an oral disclosure, use, exhibition or other means

P document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

4 January 2001

Date of mailing of the international search report

24/01/2001

Name and mailing address of the ISA

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A ✓	DEL SAL G. ET AL.: "Gas1-induced growth suppression requires a transactivation-independent p53 function" MOL CELL. BIOL., vol. 15, no. 12, December 1995 (1995-12), pages 7152-7160, XP002156391 page 7159, column 2 ---	1-16, 23-42, 46-53
A ✓	RUARO E. ET AL.: "A proline-rich motif in p53 is required for transactivation-independent growth arrest as induced by Gas1" PROC. NATL. ACAD. SCI. USA, vol. 94, April 1997 (1997-04), pages 4675-4680, XP002133332 the whole document ---	1-16, 23-42, 46-53
A ✓	DEL SAL G. ET AL.: "The growth arrest-specific gene, gas1, is involved in growth suppression" CELL, vol. 70, 21 August 1992 (1992-08-21), pages 595-607, XP002133330 cited in the application the whole document ---	1-16, 23-42, 46-53
A ✓	DEL SAL G. ET AL.: "Structure, function and chromosomal mapping of the growth-suppressing human homologue of the murine gas1 gene" PROC. NATL. ACAD. SCI. USA, vol. 91, March 1994 (1994-03), pages 1848-1852, XP002133331 cited in the application the whole document -----	1-16, 23-38, 46-53

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202
ETATS-UNIS D'AMERIQUE
in its capacity as elected Office

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Applicant LUYTEN, Walter, Herman, Maria, Louis et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:
31 January 2001 (31.01.01)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer <p style="text-align: center; margin-top: 20px;">Olivia TEFY</p> Telephone No.: (41-22) 338.83.38
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31/70, 38/17, A61P 25/00

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Published:

- With international search report.
- Before the expiration of the time limit for amending the
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For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.

(54) Title: GAS1 POLYPEPTIDES

(57) Abstract: There is disclosed a method of inhibiting the lethal effect of expressing an otherwise lethal protein in a cell, said method comprising: (a) providing a cell, tissue or organism having (i) a nucleotide sequence encoding a Gas1 protein, or a functional equivalent, derivative or bioprecursor thereof, which is capable of inducing apoptosis in said cell and (ii) a further nucleotide sequence encoding a protein which is otherwise lethal to said cell in itself or in response to a lethal stimulus in the presence of Gas1; (b) inhibiting function and/or expression of said Gas1 protein or functional equivalent, derivative or bioprecursor thereof; and (c) expressing said sequence encoding said otherwise lethal protein.

WO 01/14549 A1

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GAS1 POLYPEPTIDES

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The present invention is concerned with methods of
identifying compounds capable of preventing or
5 accelerating Gas1 mediated cell death.

The Gas1 gene encodes a membrane protein which has
been associated with the G0 phase of proliferative
arrest and cell cycle exit in rat fibroblasts deprived
10 of serum. Based upon its antiproliferative effects
and its functional dependency to p53, Gas1 has also
been associated with antitumour like activity.

(Schneider et al., 1988 Genes specifically expressed
at growth arrest in mammalian cells, Cell 54:787-793;
15 Del Sal et al, 1992, the growth arrest specific gene,
Gas1, is involved in growth suppression, Cell 70:593-
607; Del Sal et al, 1994. Structure, function and
chromosome mapping of the growth suppressing human
homologue of the murine Gas1 gene, Proc. Natl. Acad.
20 Sci. USA 91; 1848-1852). The structural conformation
of the Gas1 protein deduced from the amino acid
sequence thereof, indicates the presence of two
transmembrane segments. Except for a hypothetical RGD
domain (Arginine-Glycine-Aspartic acid) which is known
25 to interact with integrines, Gas1 does not show any
described domain that relates to its cell cycle arrest
function. Recently, mRNA Gas1 induction during
involution of the prostate, mammary gland and the
ovarian luteal body, as a result of castration,
30 lactation and birth delivery arrest, respectively, has
been observed (Jaggi et al., 1996, Regulation of a
Physiological Apoptosis: Mouse mammary Involution. J.
Davy Sci. 79: 1074-1084).

35 From expression studies, the present inventors have
surprisingly found that Gas1 overexpression induces
cell death in various cell types, such as, neurons and

neuroblastoma cell lines and that Gas1 is responsible for the induction of apoptotic activity in a cell.

Based upon the surprising relationship of Gas1
5 expression and apoptosis the present inventors have developed methods to study the effects of expressing proteins in a cell which are normally lethal to the cell by inhibiting expression or activity of either the Gas1 protein or a protein in the signal
10 transduction pathway of which Gas1 is a component. These methods can be further applied to identifying compounds which inhibit or enhance the expression of those otherwise lethal proteins. An assay has also been developed to identify compounds which are capable
15 of preventing or accelerating Gas1 mediated cell death.

Therefore in accordance with a first aspect of the invention there is provided, a method of inhibiting
20 the lethal effect of expressing an otherwise lethal protein in a cell, said method comprising (a) providing a cell, tissue or organism having (i) a nucleotide sequence encoding a Gas1 protein, or a functional equivalent, derivative or bioprecursor thereof, which is capable of inducing apoptosis in
25 said cell and (ii) a further nucleotide sequence encoding a protein which is otherwise lethal to said cell in itself or in response to a lethal stimulus in the presence of Gas1; (b) inhibiting function and/or
30 expression of said Gas1 protein or functional equivalent, derivative or bioprecursor thereof or a protein in the apoptotic pathway of which Gas1 is a component; and (c) expressing said sequence encoding said otherwise lethal protein.

35 Thus, advantageously, it is now possible, by inhibiting the function or expression of the

biological mediator of cell death to study proteins which are normally lethal when expressed in a cell. These methods can also be used to identify compounds which can function as enhancers/inhibitors of expression or activity of the otherwise lethal proteins and which has not been possible hitherto.

Therefore, according to a second aspect of the present invention there is provided a method of identifying compounds which inhibit or enhance expression or activity of proteins which are otherwise lethal to a cell, tissue or organism said method comprising (a) providing a cell, tissue or organism comprising a nucleotide sequence encoding a Gas1 protein or a functional equivalent, derivative or bioprecursor thereof, which is capable of inducing apoptosis in said cell, and ii) a further sequence encoding a protein which is otherwise lethal to said cell in itself or in response to a lethal stimulus in the presence of Gas1; (b) inhibiting function and/or expression of said Gas1 protein or a functional equivalent, derivative or bioprecursor thereof or a protein in the apoptotic pathway of which Gas1 is a component; (c) expressing said sequence encoding said otherwise lethal protein; (d) contacting said cell with a compound to be tested; and (e) monitoring the effect of said compound on said otherwise lethal protein compared to an identical cell which has not been contacted with said compound.

Preferably, the inhibition of activity or expression of the Gas1 protein occurs by providing a nucleic acid molecule, such as an antisense molecule, which is capable of hybridising to mRNA in the cell corresponding to or complementary to Gas1 DNA under stringent conditions, to prevent expression thereof. The nucleic acid molecule in addition to possessing

antisense activity, may in some embodiments possess ribozyme or DNase activity.

The methods of the present invention, therefore, involve inhibiting the function or expression of a Gas1 protein *in vivo* using, for example, antisense technology. Antisense technology can be used to control gene expression through triple-helix formation or antisense DNA or RNA, both of which methods are based on binding of a polynucleotide to DNA or RNA. For example, the part of the DNA sequence coding for the mature protein of the present invention is used to design an antisense RNA oligonucleotide of from 10 to 50 base pairs in length. A DNA oligonucleotide is designed to be complementary to a region of the gene involved in transcription (triple-helix - see Lee et al. Nucl. Acids. Res., 6:3073 (1979); Cooney et al., Science, 241:456 (1988); and Dervan et al., Science, 251: 1360 (1991), thereby preventing transcription and the production of Gas1. The antisense RNA oligonucleotide hybridises to the mRNA *in vivo* and blocks translation of an mRNA molecule into the mature protein. Thus, an animal having expression of the Gas1 protein inhibited may be utilised as a model for expression of otherwise lethal proteins in accordance with the methods of the invention and for identifying potential therapeutic agents capable of inhibiting or enhancing expression or activity of the otherwise lethal proteins.

Preferably, the antisense molecule comprises a specific Gas1 antisense oligonucleotide (SEQ ID 5) which the present inventors have confirmed as being able to block the transduction process of the Gas1 protein and, as described in more detail below, involves a total blocking of the NMDA induced neuron death phenomenon in primary neuron cultures (survival

practically of 100%, 25mM AgI column in Fig. 2a) or by staurosporin (200nM) (Fig. 2b). Alternatively, a sequence complementary to the nucleotide sequence encoding Gas1 as identified in SEQ ID No. 3 may be used to prevent expression of the Gas1 protein.

In an even further embodiment it is also possible to inhibit Gas1 expression or activity by, for example, inhibiting expression or activity of a protein which is involved in the pathway of which Gas1 is a component. Thus, using the methods described it is possible to prevent the apoptotic properties of Gas1 proteins being realised either by inhibiting, for example, the signal transduction pathway upstream or downstream of Gas1 DNA to prevent the apoptotic response. It has also been identified that induction of Gas1 leads to a simultaneous increase in calcium concentration within the cell. In the method according to the invention a suitable stimulus can be applied which is lethal to a cell, to induce transcription of the Gas1 protein.

In one embodiment of the methods described herein, the otherwise lethal protein may be provided on a suitable expression vector. Suitable vectors are well known to those of skill in the art. Similarly, the sequence of the further nucleotide sequence encoding said otherwise lethal protein may be provided in an expression vector under the control of suitable regulatory control elements. Such lethal proteins include, for example, glutamate receptors such as any of the type 1 to 8 metabotropic receptors, or NMDA, AMPA or kainate receptors. Alternatively, the lethal protein may comprise a highly expressed recombinant protein which can frequently be toxic to a cell within which it is expressed. Thus, the present invention also, advantageously, provides a system to enable

harvesting of highly expressed recombinant proteins.

5 The nucleic acid molecule utilised in accordance with the methods of the invention to inhibit expression of the Gas1 protein may be provided as an oligonucleotide, which is transformed or transfected into the cell, using techniques well known to those of skill in the art. Alternatively, the sequence may be encoded by a suitable sequence provided in a vector,
10 which is transformed or transfected into the cell, tissue or organism.

Any compounds identified are also encompassed within the scope of the present invention. Thus, a further
15 aspect of the invention provides a compound identifiable as an inhibitor or an enhancer of expression or activity of an otherwise lethal protein according to the invention. Such compounds may, for example, be included, in pharmaceutical compositions
20 together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

Compounds identified as enhancers of expression or activity may be used in the manufacture of a
25 medicament for treating a disease condition mediated at least in part by underexpression or reduced activity of said otherwise lethal protein or a protein in the pathway of which said otherwise lethal protein is a component. Likewise compounds identified as
30 inhibitors of expression or activity may be used in the manufacture of a medicament for treating a disease condition mediated at least in part by overexpression or reduced activity of said otherwise lethal protein or a protein in the pathway of which said otherwise
35 lethal protein is a component. Preferably, the disease condition to be treated comprises any of a neurological disorder, a cardiovascular disorder, an

autoimmune disorder, a neuroendocrine disorder or cancer.

These compounds can be used to prevent cell death in neurological disorders, such as for example, Parkinson's disease, Alzheimer's disease, Huntington's disease, amyotrophic lateral sclerosis, cerebellar ataxias, spinal muscular atrophies, etc.; stroke; head trauma; epilepsies; cardiovascular disorders, for example, post infarction, etc.; neuroendocrine disorders, for example, pituitary necrosis, etc.; autoimmune diseases, for example, multiple sclerosis, etc.; and any pathological process which is at least partially mediated by Gas1.

Furthermore, the present invention relates to a method of producing an antagonist or agonist of Gas1 according to the invention comprising the steps of any one of the above described screening methods; and additionally (i) synthesizing the compound obtained or identified in said method or a physiologically acceptable analog or derivative thereof in an amount sufficient to provide said antagonist or agonist in a therapeutically effective amount to a patient; and/or (ii) combining the compound obtained or identified in said method or an analog or derivative thereof with a pharmaceutically acceptable carrier.

The compounds isolated by the above methods also serve as lead compounds for the development of analog compounds. The analogs should have a stabilized electronic configuration and molecular conformation that allows key functional groups to be presented to the Gas1 protein in substantially the same way as the lead compound. In particular, the analog compounds have spatial electronic properties which are comparable to the binding region, but can be smaller

5 molecules than the lead compound, frequently having a
molecular weight below about 2 kD and preferably below
about 1 kD. Identification of analog compounds can be
performed through use of techniques such as self-
consistent field (SCF) analysis, configuration
interaction (CI) analysis, and normal mode dynamics
analysis. Computer programs for implementing these
techniques are available; e.g., Rein, Computer-
Assisted Modeling of Receptor-Ligand Interactions
10 (Alan Liss, New York, 1989). Methods for the
preparation of chemical derivatives and analogues are
well known to those skilled in the art and are
described in, for example, Beilstein, Handbook of
Organic Chemistry, Springer edition New York Inc., 175
15 Fifth Avenue, New York, N.Y. 10010 U.S.A. and Organic
Synthesis, Wiley, New York, USA. Furthermore, said
derivatives and analogues can be tested for their
effects according to methods known in the art; see
also supra. Furthermore, peptidomimetics and/or
20 computer aided design of appropriate derivatives and
analogues can be used.

A further aspect of the invention comprises a method
of monitoring the severity of a disease condition
25 mediated by cellular apoptosis in a cell, tissue or
organism comprising measuring the level of
transcription, expression or activity of a Gas1
protein or a functional equivalent, derivative or
bioprecursor thereof in said cell or tissue or
30 organism.

The present inventors have also now, advantageously,
identified the sequence of the rat Gas1 polypeptide
and which has never before been fully characterised.
35 Accordingly, a further aspect of the present invention
comprises a nucleic acid molecule encoding a rat Gas1
protein or a functional equivalent, derivative or

bioprecursor thereof, comprising an amino acid sequence according to sequence ID No. 2. Preferably, the nucleic acid molecule is a DNA molecule and even more preferably a cDNA molecule, which in a preferred embodiment comprises the sequence of nucleotides according Sequence ID No. 1. Alternatively, the invention provides in a further aspect, a nucleic acid molecule encoding a protein capable of inducing apoptosis in a cell, comprising an amino acid according to Sequence ID No. 4 or a nucleic acid molecule which is complementary thereto.

The invention also provides an antisense molecule capable of hybridising to a nucleic acid molecule according to the invention under conditions of high stringency.

Stringency of hybridisation as defined herein refers to conditions under which polynucleic acids are stable. The stability of hybrids is reflected in the melting temperature (T_m) of the hybrids. T_m can be approximated by the formula:

$$81.5^{\circ}\text{C} - 16.6 (\log_{10}[\text{Na}^+] + 0.41 (\% \text{G\&C}) - 600/l)$$

wherein l is the length of the hybrids in nucleotides. T_m decreases approximately by $1-1.5^{\circ}\text{C}$ with every 1% decrease in sequence homology.

Preferably, the antisense molecule comprises the sequence of nucleotides according to Sequence ID No. 5.

Advantageously, the nucleic acid molecule according to the invention may be used to express the Gas1 protein according to the invention, in a host cell or the like using an appropriate expression vector.

- 10 -

An expression vector according to the invention includes vectors capable of expressing DNA operatively linked to regulatory sequences, such as promoter regions, that are capable of effecting expression of such DNA fragments.

Regulatory elements required for expression include promoter sequences to bind RNA polymerase and transcription initiation sequences for ribosome binding. For example, a bacterial expression vector may include a promoter such as the lac promoter and for transcription initiation the Shine-Dalgarno sequence and the start codon AUG. Similarly, a eukaryotic expression vector may include a heterologous or homologous promoter for RNA polymerase II, a downstream polyadenylation signal, the start codon AUG, and a termination codon for detachment of the ribosome. Such vectors may be obtained commercially or assembled from the sequences described by methods well known in the art. Thus, an expression vector refers to a recombinant DNA or RNA construct, such as a plasmid, a phage, recombinant virus or other vector that upon introduction into an appropriate host cell result in expression of the DNA or RNA fragments. Appropriate expression vectors are well known to those skilled in the art and include those that are replicable in eukaryotic cells and/or prokaryotic cells and those that remain episomal or those which integrate into the host cell genome.

The antisense molecule capable of hybridising to the nucleic acid according to the invention may be used as a probe or as a medicament or alternatively in a pharmaceutical composition, by preventing expression of a Gas1 protein. Advantageously, the antisense molecule according to the invention may be used as a drug, or in the preparation of a drug for the

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treatment of the previously described pathological processes. The expression vector including said antisense molecule according to the present invention may be used advantageously *in vivo*, such as in gene therapy (Matteucci & Wagner, Nature 384, Supp 7:20-22 (1996); Whitesell et al. Proc. Natl. Acad. Sci. USA 90:4665-4669 (1993); Wahlestedt, C. Trends Pharmacol. Sci. 15:42-46 (1994)).

10 Nucleic acid molecules according to the invention may be inserted into the vectors described in an antisense orientation in order to provide for the production of antisense RNA. Antisense RNA or other antisense nucleic acids may be produced by synthetic means.

15 A further aspect of the invention comprises the host cell transformed, transfected or infected with the expression vector according to the invention, which cell preferably comprises a eukaryotic cell and more preferably a mammalian cell.

20 Incorporation of cloned DNA into a suitable expression vector for subsequent transformation of a cell and subsequent selection of the transformed cells is well known to those skilled in the art as provided in Sambrook et al (1989) Molecular Cloning, A Laboratory manual, Cold Spring Harbour Laboratory Press.

25 A further aspect of the present invention comprises a nucleic acid molecule having at least 15 nucleotides of the nucleic acid molecule according to the invention and preferably from 15 to 50 nucleotides.

30 These sequences may, advantageously be used as probes or primers to initiate replication or the like. Such nucleic acid molecules may be produced according to techniques well known in the art, such as by

- 12 -

recombinant or synthetic means. They may also be used in diagnostic kits or devices or the like for detecting for the presence of a nucleic acid according to the invention. These tests generally comprise

5 contacting the probe with a sample under hybridising conditions and detecting for the presence of any duplex formation between the probe and any nucleic acid in the sample.

10 According to the present invention these probes may be anchored to a solid support. Preferably, they are present on an array so that multiple probes can simultaneously hybridize to a single biological sample. The probes can be spotted onto the array or

15 synthesised *in situ* on the array. (See Lockhart et al., Nature Biotechnology, vol. 14, December 1996 "Expression monitoring by hybridisation into high density oligonucleotide arrays". A single array can contain more than 100, 500 or even 1,000 different

20 probes in discrete locations.

Nucleic acid molecules according to the invention may also be produced using such recombinant or synthetic means, such as, for example, using PCR cloning

25 mechanisms which generally involve making a pair of primers, which may be from approximately 10 to 50 nucleotides to a region of the gene which is desired to be cloned, bringing the primers into contact with mRNA, cDNA, or genomic DNA from a human cell,

30 performing a polymerase chain reaction under conditions which bring about amplification of the desired region, isolating the amplified region or fragment and recovering the amplified DNA. Generally, such techniques as defined herein are well known in

35 the art, such as described in Sambrook et al (Molecular Cloning: a Laboratory Manual, 1989).

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The nucleic acids or oligonucleotides according to the invention may carry a revealing label. Suitable labels include radioisotopes such as ^{32}P or ^{35}S , enzyme labels or other protein labels such as biotin or
5 fluorescent markers. Such labels may be added to the nucleic acids or oligonucleotides of the invention and may be detected using known techniques *per se*.

Further provided by the present invention is a
10 transgenic cell, tissue or organism comprising a transgene capable of expressing the rat Gas1 protein according to the invention. The term "transgene capable of expression" as used herein means any suitable nucleic acid sequence which leads to
15 expression of a Gas1 protein having the same function and/or activity of a rat Gas1 protein according to the invention. The transgene may include, for example, genomic nucleic acid or synthetic nucleic acid including cDNA, integrated into the chromosome or in
20 an extrachromosomal state.

Preferably, the transgene comprises a vector according to the invention, which vector includes a nucleic acid molecule encoding said rat Gas1 protein, or a
25 functional fragment of said nucleic acid molecule. A "functional fragment" of said nucleic acid should be taken to mean a fragment of the gene or cDNA encoding said rat Gas1 or a functional equivalent thereof, which fragment is capable of being expressed to
30 produce a functional rat Gas1 protein according to the invention.

In accordance with the present invention, a defined nucleic acid includes not only the identical nucleic
35 acid but also any minor base variations including in particular, substitutions in bases which result in a synonymous codon (a different codon specifying the

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same amino acid residue) due to the degenerate code in conservative amino acid substitutions. The term "nucleic acid molecule" also includes the complementary sequence to any single stranded sequence given regarding base variations.

The invention provides for the rat Gas1 proteins themselves, encoded by the nucleic acid molecules according to the invention. Preferably, the Gas1 protein comprises the sequence of amino acids according to the sequence of amino acids of Sequence ID No. 2. In a further aspect of the invention comprises a protein capable of inducing apoptosis in a cell comprising an amino acid sequence according to Sequence ID No. 4 or a functional equivalent, derivative or bioprecursor thereof.

A "functional equivalent" as defined herein should be taken to mean a rat Gas1 protein that exhibits all of the growth properties and functionality associated with rat Gas1 protein. A "derivative" as defined herein is intended to include a polypeptide in which certain amino acids have been altered or deleted or replaced with other amino acids and which polypeptide retains the biological activity of Gas1 according to the invention and/or which polypeptide can react with antibodies raised using Gas1 according to the invention as the challenging antigen.

Encompassed within the scope of the present invention are hybrid and modified forms of rat Gas1, including fusion proteins and fragments. The hybrid and modified forms include, for example, when certain amino acids have been subjected to some modification or replacement, such as for example, by point mutation yet which modifications still result in a protein which retains the biological activity of Gas1,

- 15 -

according to the invention. Specific nucleic acid sequences can be altered by those of skill in the art to produce a protein exhibiting the same or substantially properties to Gas1 of the invention.

5

A defined protein, polypeptide or amino acid sequence according to the invention includes not only the identical amino acid sequence but isomers thereof in addition to minor amino acid variations from the natural amino acid sequence including conservative amino acid replacements (a replacement by an amino acid that is related in its side chains). Also included are amino acid sequences which vary from the natural amino acid but result in a polypeptide which is immunologically identical or similar to the polypeptide encoded by the naturally occurring sequence.

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Proteins or polypeptides according to the invention further include variants of such sequences, including naturally variants which are substantially homologous to said proteins or polypeptides. In this context, substantial homology is regarded as a sequence which has at least 70%, and preferably 80%, 90% or 95% amino acid homology with the proteins or polypeptides encoded by the nucleic acid molecules according to the invention.

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Substantial homology should be taken to mean that the nucleotide and amino acid sequences of the Gas1 of the invention display a certain degree of sequence identity. Preferably they share an identity of at least 30%, preferably 40%, more preferably 50%, still more preferably 60%, most preferably 70%, and particularly an identity of at least 80%, preferably more than 90% and still more preferably more than 95 % is desired with respect to the nucleotide or amino

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acid sequences depicted in Seq. ID Nos. 1 to 4,
respectively. A preferred method for determining the
best overall match between a query sequence (a
sequence of the present invention) and a subject
5 sequence, also referred to as a global sequence
alignment, can be determined using, for example, the
FASTDB computer program based on the algorithm of
Brutlag et al. (Comp. App. Biosci. 6 (1990), 237-245.)
In a sequence alignment the query and subject
10 sequences are both DNA sequences. An RNA sequence can
be compared by converting U's to T's. The result of
said global sequence alignment is in percent identity.
Further programs that can be used in order to
determine homology/identity are described below and in
15 the examples. The sequences that are homologous to the
sequences described above are, for example, variations
of said sequences which represent modifications having
the same biological function, in particular encoding
proteins with the same or substantially the same
20 receptor specificity, i.e. binding specificity. They
may be naturally occurring variations, such as
sequences from other mammals, or mutations. These
mutations may occur naturally or may be obtained by
mutagenesis techniques. The allelic variations may be
25 naturally occurring allelic variants as well as
synthetically produced or genetically engineered
variants.

Antibodies to the Gas1 protein according to the
30 invention may advantageously be prepared by techniques
which are well known to those of skill in the art.

The therapeutic or pharmaceutical compositions of the
present invention can be administered by any suitable
35 route known in the art including for example
intravenous, subcutaneous, intramuscular, transdermal,
intrathecal or intracerebral or administration to

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cells in ex vivo treatment protocols. Administration can be either rapid as by injection or over a period of time as by slow infusion or administration of slow release formulation. For treating tissues in the central nervous system, administration can be by injection or infusion into the cerebrospinal fluid (CSF).

Gas1 protein, the antisense molecules or indeed the compounds identified as enhancers or inhibitors of activity or expression of Gas1 or the otherwise lethal proteins may be used in the form of a pharmaceutical composition, which may be prepared according to procedures well known in the art. Preferred compositions include a pharmaceutically acceptable vehicle or diluent or excipient, such as for example, a physiological saline solution. Other pharmaceutically acceptable carriers including other non-toxic salts, sterile water or the like may also be used. A suitable buffer may also be present allowing the compositions to be lyophilized and stored in sterile conditions prior to reconstitution by the addition of sterile water for subsequent administration. Incorporation of the aforementioned compounds or antisense molecules, for example, into a solid or semi-solid biologically compatible matrix may be carried out which can be implanted into tissues requiring treatment.

The carrier can also contain other pharmaceutically acceptable excipients for modifying other conditions such as pH, osmolarity, viscosity, sterility, lipophilicity, solubility or the like. Pharmaceutically acceptable excipients which permit sustained or delayed release following administration may also be included.

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The compounds identified in accordance with the method of the invention may be administered orally. In this embodiment they may be encapsulated and combined with suitable carriers in solid dosage forms which would be well known to those skilled in the art.

As would be well known to those of skill in the art, the specific dosage regime may be calculated according to the body surface area of the patient or the volume of body space to be occupied, dependent upon the particular route of administration to be used. The amount of the composition actually administered will, however, be determined by a medical practitioner, based on the circumstances pertaining to the disorder to be treated, such as the severity of the symptoms, the composition to be administered, the age, weight, and response of the individual patient and the chosen route of administration.

The invention may be more clearly understood from the following examples and accompanying figures wherein:

Figure 1 is a graphic representation of induction of cell death in hippocampal neurons by transient overexpression of Gas1. Quantitative analysis of the effect of rat Gas1 overexpression is represented as % survival 24 hours after transfection of the different expression vectors and the combination of expression vectors, that is showed under each column. Values are referred to 100% survival which corresponds to transfection with empty vector pcDNA3 as indicated in the first column. Overexpression of Gas1 resulted in a reduction of neuronal survival to 30% (1.5 μ g of Gas1, rg1) or 50% (0.75 μ g of Gas1, rg1*). Overexpression of the C-terminal truncated form of Gas1 (Δ C) did not have a significant effect on cell survival at 24 hr. Cotransfection of Gas1 and Bcl-2 resulted in the total

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protection of the neurons (rgl*+Bcl-2*). While overexpression of Bcl-2 alone (1.5 μ g) or the complementary form of Gas1 (AS, 1.5 μ g) did not result in survival values different from the control. Results are expressed as mean \pm SEM. Statistical analysis was performed by Student's t-test. *** denotes $p > 0.001$, and other treatment groups were statistically non-significant ($p < 0.5$).

Figure 2 is a graphic representation of the effects of protection against cell death by an antisense Gas1 oligonucleotide and by complementary Gas1 RNA.

A.- Antisense Gas1 (25 μ M Ag1) blocks NMDA-induced neuronal death in cortico-hippocampal cultures. The viability of mature cortico-hippocampal cultures was analyzed 24 hr after 500 mM NMDA exposure.

Pretreatment with the different antisense oligonucleotides at the indicated concentrations was done 24 hr before the exposure to NMDA. Results are expressed as mean \pm SEM. Statistical analysis was performed by Student's t-test. *** denotes $p > 0.001$, and other treatment groups were statistically non-significant ($p < 0.5$).

B.- Antisense Gas1 (25 μ M Ag1) prevents the reduction in survival induced by 200nM staurosporine in NB69 wild type cells (NB69wt). Columns 1 and 2. Moreover, stable expression of complementary Gas1 RNA in NB69-Gas1 cells protects from staurosporine-induced neuronal death as compared to NB69 mock cells stably transfected with the empty vector. Columns 3 and 4. The viability was analyzed 24 hr after exposure to staurosporine (200nM) in all the cases. Results are expressed as mean \pm SEM. Statistical analysis was performed by Student's t-test. *** denotes $p > 0.001$, and other treatment groups were statistically non-significant ($p < 0.5$).

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Figure 3 is an illustration showing increase in LDH levels in the culture media at different times after doxycycline removal from indicated clones.

5 Figure 4 is an illustration of the results obtained from a Western blot analysis showing the induction of Gas-1 immunoreactivity after doxycycline removal from indicated clones.

10 Figure 5 is an illustration of the results obtained from staining with Annexin-V Fluos (green) before (A) and 24 h. after (B) removal of doxycycline in cultures of NB69-MC3 cells. Propidium iodine staining could be observed at later times (48 h. or more) after
15 doxycycline removal © and D).

Figure 6 is a diagrammatic representation of results showing reduced LDH release to the culture media after doxycycline removal in C3 cells transiently
20 transfected with IAP.

Figure 7 is a diagrammatic representation of results showing increase in LDH levels in the culture media and reduced beta-galactosidase activity after
25 transient transfection of wtGas1 or mutants $\Delta 1$ to $\Delta 3$.

Figure 8 is an illustration of results showing fragmentation of chromosomal DNA in NB69 cells treated with 200 nM staurosporine is prevented by stable
30 overexpression of antisenseGas1. Standard ladder DNA is shown in lane 1 (M).

Figure 9 is a diagrammatic representation of the increase in LDH release and the reduction in beta-galactoside activity after overexpression of human
35 mGluR1 in NB69 cells is blocked by cotransfection of antisense Gas1.

Example 1.- Cloning rat Gas1

50,000 primary phages from a cDNA library prepared from cortico-hippocampal primary cultures 6 hr after a brief (5 min.) exposure to 500 mM of NMDA were differentially screened. Primary cortico-hippocampal cultures were prepared from E17 rat fetuses essentially as described (Choi, 1987). Neuronal cultures were used for the experiments after twelve days in culture. To prepare the libraries, poly-A+ mRNA was prepared from cortico-hippocampal control or NMDA treated cultures using the microFastTrack kit from Invitrogen. Oligo-dT primed cDNA was cloned in 1ZAP (Stratagene) following manufacturer's instructions. Fifty thousand phages were differentially screened, using ³²P-labeled cRNA probes derived from poly-A+ RNA isolated from control cultures (- probe), or from cultures 6 hr after the NMDA treatment (+ probe) and the use of the AMV reverse transcriptase (25 U, BRL). For differential screening of the library prepared from treated cultures, each pair of nitrocellulose filters upon which phages have been transferred are subjected to probe (+) or probe (-) hybridization for 20 hours at 42° C in a hybridization buffer that contains 50% formamide, 10% dextrane sulfate, 4xSSC, 0.1% SDS, Tris-HCl 10 mM, pH 7.4, 1xdenhardt's, 50 (g/ml) and salmon sperm and the corresponding probe 106 dpm/ml. Then the filters are washed for 30 min. at room temperature in 2xSSC, 0.1% SDS, twice for 30 min. At 42° C in 2xSSC, 0.1% SDS and twice for 30 min. At 55° C in 0.2xSSC, 0.1% SDS. After autoradiographic exposure for five days, the hybridization signals obtained for each pair of filters hybridized with probe (+) or probe (-) are compared, looking for the differential presence of hybridization signal in filters (+) in relation to (-). In this way a 714 bp

clone initially named pCHN-414 is obtained, and once sequenced, it showed homology with the untranslated 3' region of the Gas1 human and rat gene. This indicates that the pCHN-414 clone corresponds to the rat Gas1 gene, which is confirmed in the following experiments.

A cDNA fragment containing the complete coding sequence of rat Gas1 was obtained following PCR using rat genomic DNA as template. The 5' primer was derived from the mouse sequence (Del Sal et al., 1992) at position 49-66 (5'-GAATTCGAGAAACGCTCCGAGTTTCG-3'). The sequence of the 3' primer was obtained from the rat clone (5'-GGATCCAGTTTTTAATACAGTTTATACGACGTACCAGG -3') , at a position corresponding to 2449-2483 in the mouse sequence. Flanking EcoRI and BamHI sites in the 5' ends of the oligonucleotides were designated for cloning purposes. The DNA was then amplified by the polymerase chain reaction using Tli DNA polymerase (Promega) for 40 cycles. The timing for each cycle was as follows: 1 min. at 94°C, 1 min. at 60°C and 2 min. at 72°C. The cycling was preceded by 2 min. denaturing period at 94°C and followed by a 7 min. extension at 72°C. A 2.4 kb PCR product was obtained, restricted by EcoRI, cloned into pCDNA3 (Invitrogene) and completely sequenced at both strands using Thermo stable Sequenase and the conditions suggested by the manufacturer (Amersham-Pharmacia).

Example 2.- Gas1 gene transfection induces neuronal death

To assess the effect of the Gas1 protein on neuronal viability, pGas1 was cotransfected together with a β -galactosidase expression vector that served as a marker of transfected neurons using liposomes. For optimal liposome-mediated transfection efficiency these experiments were performed in serum-free

conditions using primary cultures of hippocampal neurons. Culture of hippocampal neurons was performed in chemically defined medium as described (Ohsawa, et al, 1993. Response of embryonic hippocampal neurons in culture to neurotrophin-3, brain-derived neurotrophic factor and basic fibroblast growth factor. *Neurosci.* 57, 67-77.). Hippocampal neurons (3 DIV, 2×10^6 cells per 35 mm dish) received 2 μg total amount of plasmid DNA containing 0.5 μg of β -galactosidase expression vector (pCH110, Pharmacia) and 1.5 μg of pCDNA3 vector (InVitrogene), empty or containing the Gas1 coding sequence in the 5' to 3' orientation (rg1), the Gas1 coding sequence in the complementary orientation (3' to 5', AS) or the truncated Gas1 (ΔC , The C-terminal truncated expression vector for Gas1 contains amino acids 1 to 229 and was prepared from the rg1 plasmid by subcloning of a HindIII/EcoRI fragment into pCDNA3). For the protection by Bcl-2, 0.75 μg of rg1 and 0.75 μg of pBcl-2 were used together with 0.5 μg of pCH110. A ratio of 1:2 DNA: Transfectam (Promega) was used for the preparation of liposomes. Three hours after transfection, the liposome-containing medium was replaced with fresh medium. To assess the number of transfected neurons, the cultures were fixed with 2.5% glutaraldehyde 24 hr after the transfection and X-Gal staining was performed as suggested (Promega). The experiments were repeated 3 to 5 times, performed in quadruplicates and each plate was counted by three independent investigators. X-Gal positive neurons present in 20 fields of each plate were counted and averaged. The average of X-Gal positive neurons in control cultures was taken as 100% survival for each experiment. The transfection efficiency was similar for the different plasmids, for different preparations of a given plasmid and among experiments, as assayed following a similar protocol but 16 hr after transfection, a time point at which cell death has not

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started. The transfection efficiency accounted for approximately 1 % of the total cell population, and showed little variation between the different experimental groups and between experiments.

5 At 24 hr after transfection, the total number of X-Gal positive neurons in cultures transfected with rgl was dramatically reduced. The survival after Gas1 overexpression was decreased in a concentration-dependent manner to 30% and 50% when transfected with
10 1.5 and 0.75 μ g of pGas1, respectively (Fig. 1). In contrast, the overexpression of the complementary strand of Gas1 (AS), did not affect the number of viable X-Gal positive cells at 24 hr after transfection (Fig. 1). These results associate the
15 overexpression of Gas1 with neuronal death and indicate that high levels of Gas1 protein are sufficient to trigger a death process that is accompanied by profound alterations in morphology.

20 **Example 3.- Blocking of translation of the Gas1 protein by Gas1 antisense oligonucleotide or by Gas1 complementary chain overexpression protects against excitotoxic death or death induced by staurosporine.**

25 NMDA-induced excitotoxicity was analyzed in cortico-hippocampal cultures maintained for 12-14 DIV similar to those already described in Example 1. To apply the excitotoxic insult, the cultures were rinsed twice with Locke's solution without Mg²⁺ and exposed to 500
30 mM NMDA (Sigma) for 5 min. in the same Locke's solution or to Locke's solution alone as control. NMDA was washed out, the Mg²⁺ concentration was restored by 2 changes of Locke's +Mg²⁺ solution, and the original medium was replaced. Phase-bright bipolar cells, taken
35 to represent living neurons, were counted 24 hr after the NMDA exposure. Alternatively, the vital staining method (Jones and Senft, 1985 An improved method to

least five times. Stably transfected NB69 cells that overexpress Gas1 in the antisense orientation (NB69-Gas1) were prepared by the calcium phosphate precipitation method followed by two weeks of geneticin selection. Control cells were prepared in parallel by transfection with pCDNA3 vector alone (NB69-vector). Expression of the constructs in the cell clones were analyzed by northern blot analysis, and the clones having the highest expression were chosen for the experiments. Stable tranfectants were kept in the presence of 0.3 mg/ml of geneticin, which was removed before plating for the experiments.

Neuron death by overstimulation of excitatory amino acid receptors is a well characterized phenomenon that receives the generic denomination of excitotoxicity. Excitotoxicity is a complex process that involves significant changes in the cell cycle gene expression.

The detection of various of these genes was carried out in the course of differential screening of primary culture rat cortico-hippocampal neuron library at an excitotoxic concentration (500 mM) of N-methyl D-aspartic-NMDA acid (Choi, 1987, Ionic dependence of glutamate neurotoxicity in cortical cell culture. J. Neurosci 7:369-379). Cloning and sequence determination of one of them identified it as part of the rat homologue of the Gas1 gene described above in humans. Subsequently, the complete rat Gas1 gene was cloned and sequenced, as referred to below whose nucleotide sequence is attached as SEQ ID 1, obtaining from it the amino acid sequence of the rat Gas1 protein whose sequence is attached as SEQ ID 2.

Subsequently, the increase of 8 to 10 times the Gas1 mRNA expression after 6 hours of administering a pulse of NMDA in primary cortico-hippocampal cell cultures

determine cell viability by simultaneous staining with fluorescein diacetate-propidium iodide. J Histochem Cytochem 33:77-79) was used to assess the extent of neuronal death and the protection by antisense oligonucleotide treatments. Similar results were obtained using either method. The vital staining method was used to prepare Fig. 2a.

A 15-mer Gas1 antisense oligonucleotide 5'-TCCTCATCCATCCAT-3', (AG1) spanning the start site of translation (underlined) was used to specifically block Gas1 translation. As a negative control a 15-mer oligonucleotide with nucleotide substitutions 5'-TCCTCATCGATGGTA-3' (AG1mut) was used and the Gas1-unrelated antisense oligonucleotides for cyclin D1: 5'- GAGCTGGTGTTCAT -3' (Matsushime et al., 1991 Colony-stimulating factor 1 regulates novel cyclins during the G1 phase of the cell cycle Cell 65:701-713) and for zif268: 5'- GTAGTTGTCCATGGT -3' (Milbrandt, 1987 A nerve growth factor-induced gene encodes a possible transcriptional regulatory factor. Science 238:797-799). All oligonucleotides were protected at each end by two phosphorothioate groups. The oligonucleotides were added to the culture medium 24 hr before the induction of neuronal death by NMDA, at the concentrations indicated in the figures. Experiments were done in triplicate and repeated as least five times.

Staurosporine-induced neuronal death was assayed in human neuroblastoma NB69 cells cultured in DMEM/HAM F12 medium supplemented with 10% fetal calf serum, 2 mM glutamine and 50 µg/ml gentamycin. Wild-type or stably transfected NB69 cells (2 x 10⁴ cells/35 mm dish) were exposed to 100 nM staurosporine (RBI). Phase-bright cells without signs of membrane or neurite degeneration were counted 24 hr later. Experiments were done in triplicate and repeated as

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in contrast to control cells was confirmed by Northern blot. On the other hand, Gas1 mRNA induction has not been observed in pure primary culture of hippocampal astroglia after exposure to NMDA or glutamate (data not shown), suggesting that Gas1 overexpression occurs in neurons as part of the gene response during NMDA induced neuronal degeneration.

Hence, Gas1 expression in experimental models where neuron death was induced by means of different harmful stimuli has been evaluated. First of all, intraperitoneal administration of kainic acid was used, an experimental model that has demonstrated that it produces acute and delayed neuron death in different cerebral areas that include the hippocampus, olfactory cortex, thalamus and amygdala ((Schwob et al, Widespread patterns of neuronal damage following systemic or intracerebral injections of kainic acid: a histological study. Neurosci 5:991-1014; 1980; Sperk et al., Kainic acid-induced seizures: neurochemical and histopathological changes. Neurosci 10:1301-1315;1983). Northern blot analysis demonstrated that Gas1 expression in control rat brain (without administering kainic acid) was very low in accordance with previous data (Del Sat et al., 1994). However, strong induction of Gas1 expression in hippocampus and olfactory cortex after intraperitoneal administration of 10 mg/kg of kainic acid was observed. Already detectable induced Gas1 mRNA levels after kainic acid appear 6 hours after the beginning of treatment and were maintained for at least 10 days. In order to reveal Gas1 induction and to confirm neurone localization thereof in situ hybridization after administering kainic acid was performed. A RNA specific for Gas1 monocatenary probe revealed, 24 hours after administration of kainic acid, signs of intense hybridization in isolated neurons, being evident in the pyramidal layer of the CA1 area of the

hippocampus. Similar results were observed after provoking an experimental ischemic process in gerbil brain by transient occlusion of both carotids, giving rise to rapid degeneration of a numerous population of pyramidal neurons of the CA1 layer of the hippocampus. It was observed that this massive neuron death was preceded by a significant rise of Gas1 mRNA and Gas1 protein levels (evaluated by immunocytochemistry) in those neurons. Likewise, it was also observed that neuron loss in all the cerebral cortex that is produced in rats in the perinatal period after exposure to ionizing radiation is preceded by high induction of Gas1 mRNA. All these results suggest that Gas1 is involved in regulation of neuron death by excitotoxicity.

In a subsequent step to show a direct relationship between Gas1 gene expression and neuron death, hippocampal neurons were transfected with the pcDNA3 eukaryotic expression vector containing the Gas1 (rgl) gene. The experiments were carried out in primary cultures of rat fetus hippocampal neurons that were transfected with different amounts of the Gas1 expression vector, evaluating a posteriori the survival of these cells (Fig. 1). Hence, it was observed that transfection of cells with 1.5 μ g of rgl construct caused a significant reduction of survival, obtaining levels of survival lower than 40%, with regard to the control group (transfected with the empty expression vector), or with regard to the group of cells transfected with the Gas1 (SEQ ID 3) complementary or antisense sequence (Antisense(AS) column, see figure 1). The effect depends on the amount of Gas1 construct transfected and thus when transfection took place with half the amount (rgl*) the reduction of survival was more limited although still significant (Fig. 1).

On the other hand, this reduction of survival of neurons transfected with Gas1 (rgl* column) is completely blocked by coexpression in these same neurons with the Bcl-2 protein (rgl*+Bcl-2 column), Bcl-2 being the antiapoptotic protein prototype (Fig. 1). On the other hand, these experiments with rat Gas1 were repeated in NB69 human cells and in NIH3T3 rat fibroblasts, obtaining similar results. Besides, NB69 human neuroblastoma and 3T3 rat fibroblast cells were transfected with human Gas1, observing cell death of the NB69 and 3T3 cells.

Initially, Gas1 expression has been related to the G0 phase of cell cycle arrest in rat fibroblasts deprived of serum (Del Sal et al., 1992). However, the present invention shows that Gas1 overexpression in cortico-hippocampal neurons induces neuron death, acting as a protein with apoptosis inductive activity.

Up to now it had been described that Gas1 protein was a cell membrane protein and as of its amino acid sequence the presence of two transmembrane segments had been proposed and a hypothetical RGD domain that may interact with integrin, the only domain described that relates its structure to its arrest function of the cell cycle (Schneider et al.). The present inventors have succeeded in overexpressing the Gas1 protein by means of a prokaryotic vector (pTrcHis) in bacteria for subsequent purification in sufficient amounts to carry out protein studies. Hence, it was observed in experiments of artificial bilayers that the purified Gas1 protein is capable of inserting in and forming cationic channels and that said capacity may be linked to neuron death induction. Aside from the already described location of this protein in the cell membrane we have located the presence of Gas1 in the perinuclear and mitochondrial membrane. It must

- 30 -

be pointed out that Bcl-2 and Bax, proteins related to the cell cycle, are also located in the mitochondrial membrane and are capable of forming ionic channels. This common location of these proteins makes compatible a possible functional interaction of Gas1 with Bax type proapoptotic proteins and Bcl-2 and Bcl XL antiapoptotic proteins. The present inventors have also been able to demonstrate, by mutational analysis of the RGD domain, that this is not implied in neuron apoptotic activity of the Gas1 protein. On the contrary, we have delimited the neuron death inductive capacity in an amphipathic domain in the Gas1 terminal carboxyl region, located between the amino acids 174 and 304 of the protein (SEQ ID 4), that does not coincide with any of the previously hypothesized domains.

In order to confirm the relevance of this region of the protein in the lethal capacity of Gas1 hippocampal neurons were transfected with a mutated Gas1 construction in that region (ΔC column, Fig. 1). As seen in Fig. 1, survival of these neurons is similar to that verified in the control group (vector column) and is significantly higher than that of neurons transfected with wild type Gas1 (rg1 and rg1* columns).

Thanks to the exact knowledge of the structure activity relationship in Gas1 polypeptide there is now a rational base to understand the lethal action of Gas1 as well as for the development of molecules capable of blocking the Gas1 polypeptide function or Gas1 gene expression with which to create new therapeutic tools to reduce or eliminate cell death. For this purpose, overexpression of Gas1 protein in human neuroblastoma cells or in rat fetus neurons by means of transfection with liposomes of the Gas1

eukaryotic expression vector was used. This may be constitutive expression (pcDNA3) in transient expression but it may also be an inducible expression (pIND) that permits preparation of stable lines that will express Gas1 after induction of the expression vector for example with muristerone in the case of pIND. To evaluate the phenomenon of death one can resort to morphological criteria by means of transfection with b-galactosidase or by means of GFP (green fluorescent protein) or to biochemical criteria of degradation, detection of nucleic acids or release of dehydrogenase lactate into the medium, or any other indication of cellular lysis. The specific application of this invention is its use as a screening system of molecule collections in the search for products active in blocking Gas1 polypeptide function or Gas1 gene expression and therefore, providing a protective action against cell death. These screening systems form part of the present invention.

Transgenic models provide a useful model for assaying and testing drugs for their effectiveness and safety in the treatment of the above described diseases. Also, by means of the use of specific tissue promoters or cellular phenotype promoters Gas1 expression can be achieved in target tissues and cells for a better and more specific testing. An advantage of the invention is that potential Gas1 inhibitors and/or blocking agents can be readily tested in an *in vivo* model that closely mimics a human by the use of a rat gene for Gas1.

Furthermore, a specific Gas1 antisense oligonucleotide (SEQ ID 5) was verified as being able to block the translation of Gas1 protein and this involves a total blocking of the NMDA induced neuron death phenomenon in primary neuron cultures (survival practically of

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100%, 25mM Agl column in Fig. 2a) or by staurosporin induced death (200nM) (Fig. 2b).

5 Upon observing that the stable expression of high levels of Gas1 complementary messenger in the NB69-Gas1 cell lines makes these cells more resistant to external harmful stimuli, it was possible to use these cells for forced expression of lethal genes that would induce cell death in cells but that would not cause death in these Gas1 expressing protected lines. An example of the possible application of this protected system (NB69-Gas1) is the overexpression of the glutamate type I metabotropic receptor (mGluR-I). This provides a system which permits the study of the pharmacology of mGluR-I and to screen possible agonist or antagonist molecules of this receptor. The example presented for mGluR-I is only one of the multiple possibilities of using Gas1 inhibition to achieve stable expression systems for genes that are normally detrimental to the survival of a cell. Other lethal proteins to evaluate by means of this expression system comprise, for example, ionotropic glutamate receptors including NMDA-receptors, AMPA receptors, kainate receptors with their various subunits and variants: metabotropic glutamate receptors (including subtype 1 to 8); other excitatory amino acid receptors (e.g. taurine); cytokine receptors; chemokine receptors; mono amine receptors; peptide receptors; enzymes such as kinases, caspases; and any other protein in signal transduction cascade mediating cell death.

35 The present inventors have also developed and produced polyclonal rabbit antibodies and rat hybridomas which can produce Gas1 monoclonal antibodies. These antibodies have been used in the immunocytochemical and Western blot studies that are described in this

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invention for the purpose of evaluating the expression of Gas1 protein. It is to be emphasized that these antibodies may be used for analytical purposes to purify said polypeptide, for in vitro diagnosis or for therapeutic purposes of disorders, especially those that imply cell death and neurodegeneration, where this polypeptide is expressed.

Effect of functional inhibition of Gas1 on neuronal viability.

Preparation of a human Gas1 inducible expression vector using the Tet-Off system. Firstly, an attempt was made to obtain double transfectants RXR-pINDhGas1 for ecdysone-induced expression of hGas-1 in the human neuroblastoma cell line NB69. This approach proved to be difficult and no clones showing a reasonable growth rate could be selected. In most cases, double transfectants presented long neuritic processes corresponding to a phenotype of terminally differentiated neurons, had insignificant incorporation of ³H-thymidine and a notorious accumulation of apoptotic bodies could be observed in the cultures. Attempts to reduce the percentage of serum in the media or to culture under serum-free conditions did not significantly improve the situation. It may be that a very high expression of the RXR receptor in NB69 primary clones NB69-RXR4 and NB69-RXR7 leads to a ligand-independent activation of the pINDhGas1 vector which could be the origin of the problem. Accordingly, NB69-RXR clones expressing low or moderate levels of the RXR receptor were tried. Thus, NB69 cells were cotransfected with RXR and pINDhGas1 and a double selection with G418 and Zeocin was performed. Three weeks after transfection clones could be observed. However, the growth rate of these clones was again very slow and abundant apoptotic

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bodies could be distinguished surrounding and on the top of each clone. In view of these negative results the ecdysone-based approach was abandoned in favour of a different inducible system performed in this case

5 the Tet-Off system from (available from Clontech). Two complete rounds of selection were performed. NB69 cells were initially stably transfected with the TetOff plasmid using G418 for selection. Twelve clones

10 were chosen in each round, 1 to 12 in the first and A to L in the second, for checking of expression levels of the TetOff repressor by transient transfection with reporter TRE-Luc again available from Clontech. After this analysis, clones 3, 8 and C were finally selected for cotransfection with the inducible expression

15 vector TRE-hGas1 (a plasmid including the hGas1 sequence cloned in the pTRE2 vector available from Clontech) and the selection vector TK-hyg (Clontech). Selection was carried out for two weeks using 2 mg/ml of hygromycine in the presence of 1 μ g/ml of

20 doxycycline to keep the repressor TetOff bound to the TRE promoter in the TRE-hGas1 construct. Twelve subclones were again chosen from each of the three groups (3, 8 and C) and Gas1-induced cell death was assayed after doxycycline removal using the release of

25 lactate dehydrogenase (LDH) as an index of cell damage (Cytotox kit, Promega). Positive clones were further analyzed for the inducibility of Gas1 after doxycycline removal by western blot using the

30 monoclonal antibody G8F9-1 specific for Gas1. Some of these results are summarized in figures 3 and 4. As a result, five TetOff-inducible clones were finally selected: 8d, 8e, 8f, C2 and C3.

Analysis of the molecular mechanism of Gas1-induced

35 **cell death.** To assess the cell death mechanism after induction of Gas1 by doxycycline removal we first processed each of the clones using staining for

- 35 -

annexin-V (Annexin-V Fluos, kit, Roche) as a hallmark of apoptosis and the staining with propidium iodine as an indication of a necrotic mechanism. As shown in figure 5 using clone C3, an intense green fluorescence in the outer membrane was observed soon after doxycycline removal, while at later times some of the cells developed an intense nuclear red fluorescence due to the entrance of the intercalating agent propidium iodine (Fig. 5C and D). These results indicate that the mechanism of death involves a purely apoptotic process, at least at the early stages. Because of this the effect of caspase inhibition on Gas1-induced cell death after doxycycline removal was tested. For that, clones C2 and C3 were transfected with different expression vectors for crmA, IAP and p35, three caspase inhibitors with distinct specificity for different caspases. In these experiments it was found that overexpression of IAP, a caspase inhibitor of non-viral origin, selectively blocked Gas1-induced cell death after doxycycline removal (Fig. 6). This result indicates that inhibition of the pro-caspase 9 or the effector caspases 3, 6 and 7 are involved in the death process triggered by Gas1. Further studies using more selective caspase inhibitors as well as specific substrates for the different caspases are needed to identify the caspase directly responsible of the death after Gas-1 induction.

Molecular analysis of death-related domains in Gas1.

Domain analysis of the amphipathic α -helix region of Gas1. As a result of the frame-shift strategy it was possible to delineate a domain encompassing amino acids 174 to 279 in rat Gas1 involved both in the channel activity as well as in the death-inducing properties of Gas1. To more precisely map the specific

- 36 -

residues responsible for these two biological activities deletion of discrete fragments of Gas1 within the 174-279 region was performed. Three deletion mutants have, so far, been prepared and analyzed Gas1 $\Delta 1$ to $\Delta 3$. Transient cotransfection experiments of each construct with an expression vector for lacZ was performed in NB69 cells and release of LDH and beta-galactosidase activity was measured as an index of cell death and viability, respectively. In all cases, a lethal effect similar to wtGas1 was observed. The results of a representative experiment are shown in figure 7.

Effect of knockout of Gas1 on neuronal viability.

Stable expression of antisense Gas1 RNA in NB69 cells: effects on survival. To develop a cellular model highly resistant to the overexpression of potentially toxic proteins i.e. metabotropic glutamate receptors, we selected NB69 clones stably transfected with an expression vector for Gas1 cloned in the antisense orientation. The initial screening of positive clones was performed by Northern blot. The clone showing higher levels of antiGas1 mRNA was then tested for its resistance to neuronal death induced by staurosporine. In previous it was observed that staurosporine-induced neuronal death involves the induction of endogenous Gas1 and could be prevented by administration to the culture media of the antisense oligonucleotides described herein specific for the amino-terminal of Gas1. As shown in figure 8, the DNA laddering associated with the apoptosis induced by staurosporine was not observed in cells stably transfected with antisense Gas1.

35

- 37 -

Stable expression of human mGluR1 in NB69 cells:

protection by antisense Gas1. The suitability of our NB69-ASGas1 cells to support the stable overexpression of potentially lethal proteins was then tested. In pilot experiments, transient transfections in NB69 cells with an expression vector for the human metabotropic GluR1 was performed and the effects on viability after cotransfection with antisenseGas1 expression vector were compared. The results were again evaluated using the two independent tests of cell death; release of lactate dehydrogenase to the culture media at different times and the survival of transfected cells 48 hours after transfection using the expression of LacZ as an interval reporter. The results obtained from a typical experiment performed in triplicate are shown in Figure 9.

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200220-554590F

CLAIMS

1. A method of inhibiting the lethal effect of
expressing an otherwise lethal protein in a cell, said
method comprising:

- 5
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- (a) providing a cell, tissue or organism having
(i) a nucleotide sequence encoding a Gas1
protein, or a functional equivalent,
derivative or bioprecursor thereof, which is
capable of inducing apoptosis in said cell
and (ii) a further nucleotide sequence
encoding a protein which is otherwise lethal
to said cell in itself or in response to a
lethal stimulus in the presence of Gas1;
- (b) inhibiting function and/or expression of
said Gas1 protein or functional equivalent,
derivative or bioprecursor thereof; and
- (c) expressing said sequence encoding said
otherwise lethal protein.

2. A method of identifying compounds which
inhibit or enhance expression or activity of proteins
which are lethal to a cell, tissue or organism said
method comprising:

- 25
- 30
- 35
- (a) providing a cell, tissue or organism
comprising a nucleotide sequence encoding a
Gas1 protein or a functional equivalent,
derivative or bioprecursor thereof, which is
capable of inducing apoptosis in said cell,
and ii) a further sequence encoding a
protein which is otherwise lethal to said
cell in itself or in response to a lethal
stimulus in the presence of Gas1;
- (b) inhibiting function and/or expression of
said Gas1 protein or functional equivalent,
derivative or bioprecursor thereof or a

- 39 -

protein in the apoptotic pathway of which Gas1 is a component;

- (c) expressing said sequence encoding said otherwise lethal protein;
- 5 (d) contacting said cell with a compound to be tested; and
- (e) monitoring the effect of said compound on said otherwise lethal protein compared to an identical cell which has not been contacted with said compound.

10

15

3. A method according to claim 1 or 2 wherein said expression or activity of Gas1 protein is inhibited by providing a nucleic acid molecule in said cell which is capable of hybridising to mRNA corresponding to Gas1 DNA to prevent expression thereof.

20

4. A method according to claim 1 or 2 wherein said expression or activity of said Gas1 protein is inhibited by inhibiting the expression or activity of a protein in the pathway of which Gas1 is a component.

25

5. A method according to any of claims 1 to 4 wherein said cell is induced to express said Gas1 protein by contacting said cell with a stimulus that increases intracellular calcium levels in said cell.

30

6. A method according to claim 5 wherein said cell is induced to express said Gas1 protein by contacting said cell with a suitable compound, such as muristerone.

35

7. A method according to any of claims 1 to 6 wherein said further sequence encoding said otherwise lethal protein is expressed by providing it on a suitable expression vector.

- 40 -

8. A method according to any of claims 1 to 7 wherein said lethal protein is a highly expressed recombinant protein.

9. A method according to any of claims 1 to 7 wherein said otherwise lethal protein comprises any of a glutamate, NMDA, AMPA or kainate receptor.

10. A method according to claim 9 wherein said glutamate receptors comprises any of a type 1 to 8 metabotropic receptor.

11. A method according to any of claims 3 to 9 wherein said nucleic acid molecule is provided as an oligonucleotide or as a vector including a nucleotide sequence of said nucleic acid molecule.

12. A method according to claim 11 wherein said nucleic acid molecule comprises an oligonucleotide consisting of the nucleotide sequence depicted in Sequence ID No. 5.

13. A method according to claim 11 wherein said nucleic acid molecule further comprises ribozyme or DNase activity.

14. A method according to any of claims 1 to 13 wherein said Gas1 protein is of mammalian origin.

15. A method according to claim 14 wherein said Gas1 protein is from any of a human, mouse or rat.

16. A method according to claim 14 or 15 wherein said Gas1 protein comprises the amino acid sequence depicted in either of Sequence ID No. 2 or 4 or a functional equivalent, derivative or bioprecursor thereof.

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Sub A⁶
5 17. A compound identifiable as an inhibitor or an enhancer of expression or activity of an otherwise lethal protein according to the methods of any of claims 2 to 15.

18. A pharmaceutical composition comprising a compound according to claim 17 together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

10 19. A compound according to claim 17 for use as a medicament.

15 20. Use of a compound identifiable as an enhancer of expression or activity of a lethal protein according to claim 17 in the manufacture of a medicament for treating a disease condition mediated at least in part by underexpression or reduced activity of said otherwise lethal protein or a protein in the pathway of which said otherwise lethal protein is a component.

20 21. Use of a compound identifiable as an inhibitor of expression or activity of an otherwise lethal protein according to claim 17 in the manufacture of a medicament for treating a disease condition mediated at least in part by overexpression or reduced activity of said otherwise lethal protein or a protein in the pathway of which said otherwise lethal protein is a component.

Sub A⁷
35 22. Use according to claim 20 or 21 wherein said disease condition comprises any of a neurological disorder, a cardiovascular disorder, an autoimmune disorder, a neuroendocrine disorder or cancer.

- 42 -

23. A method of monitoring the severity of a disease condition mediated by cellular apoptosis in a cell, tissue or organism comprising measuring the level of expression or activity of a Gas1 protein or a functional equivalent, derivative or bioprecursor thereof in said cell or tissue or organism.

24. A nucleic acid molecule encoding a rat Gas1 protein or a functional equivalent, derivative or bioprecursor thereof, comprising an amino acid sequence according to Sequence ID No. 2.

25. A nucleic acid molecule encoding a protein capable of inducing apoptosis in a cell comprising an amino acid sequence according to Sequence ID No. 4 or a nucleic acid molecule complementary thereto.

26. A nucleic acid molecule according to claim 24 or 25 which is a DNA sequence.

27. A nucleic acid molecule according to claim 26 which is a cDNA molecule.

28. A nucleic acid molecule according to claim 24, 26 or 27 comprising the sequence of nucleotides according to Sequence ID No. 1.

29. An antisense molecule capable of hybridising to the nucleic acid molecule of any of claims 24 to 28 under conditions of high stringency.

30. An antisense molecule according to claim 29 comprising a sequence of nucleotides according to Sequence ID No. 3 or 5.

31. A Gas1 protein encoded by a nucleic acid molecule according to any of claims 24 to 28.

SUB A10
32. A Gas1 protein comprising an amino acid sequence illustrated in Sequence ID No. 2.

5 33. A protein capable of inducing apoptosis in a cell comprising an amino acid sequence according to Sequence ID No. 4 or a functional equivalent, derivative or bioprecursor thereof.

SUB A11
34. An expression vector comprising a nucleic acid molecule according to any of claims 24 to 28.

15 35. An expression vector according to claim 34 wherein said vector is any of a plasmid, virus or phage derived vector.

36. An expression vector according to claim 34 or 35 comprising a tissue or cell specific promoter.

SUB A12
20 37. An expression vector according to any of claims 34 to 36 further comprising a sequence encoding a proapoptotic protein.

25 38. An expression vector according to any of claims 34 to 37 which is inducible for expression of said Gas1 polypeptide or said polypeptide capable of inducing apoptosis in a cell.

39. An expression vector according to claim 38 comprising the inducible vector pIND.

SUB A13
30 40. A host cell, tissue or organism, transformed, transfected or infected with a vector according to any of claims 34 to 39.

35 41. A method of identifying compounds capable of preventing or accelerating Gas1 mediated cell death comprising the steps of:

- 44 -

- (a) contacting a cell, tissue or organism expressing Gas1 or a functional equivalent, derivative or bioprecursor thereof capable of inducing apoptosis in a cell with said compound to be tested; and
- (b) monitoring the effect of said compound on the state of said cell compared to a cell which has not been contacted with said compound.

42. A method according to claim 41 wherein said cell in step (a) comprises a cell according to claim 40.

43. A compound identifiable as an inhibitor or an accelerator of cell death according to the method of claim 41 or 42.

44. A pharmaceutical composition comprising a compound according to claim 43, together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

45. A pharmaceutical composition comprising any of a nucleic acid molecule according to any of claims 24 to 28, an antisense molecule according to claim 29 or 30, a protein according to any of claims 31 to 33 together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

46. Use of any of a nucleic acid molecule according to any of claims 24 to 28, an antisense molecule according to claim 29 or 30, a protein according to any of claims 31 to 33, a compound according to claim 43 or a pharmaceutical composition according to claim 44, in the manufacture of a medicament for the prevention or treatment of a

- 45 -

disease condition mediated at least in part by expression of a Gas1 protein or a functional equivalent, derivative or bioprecursor thereof capable of inducing apoptosis in a cell or a protein in the pathway of which Gas1 is a component.

47. Use according to claim 46 wherein said disease condition is any of a neurological disorder, a cardiovascular disorder, an autoimmune disorder, a neuroendocrine disorder or an oncological disorder.

48. Use according to claim 47, wherein said neurological disorder is any of, Parkinson's disease, Alzheimer's disease, Huntington's disease, amyotrophic lateral sclerosis, a neurological condition caused by thrombosis or cerebral trauma.

49. Use according to claim 47, where said cardiovascular disorder is a heart attack.

50. Use according to claim 47, wherein said autoimmune disorder is multiple sclerosis.

51. Use according to claim 47, wherein said neuroendocrine disorder is necrosis of the pituitary gland.

52. An antibody capable of binding to a protein according to any of claims 31 to 33.

53. A pharmaceutical composition comprising an antibody according to claim 52 together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

add A¹⁷

1/10

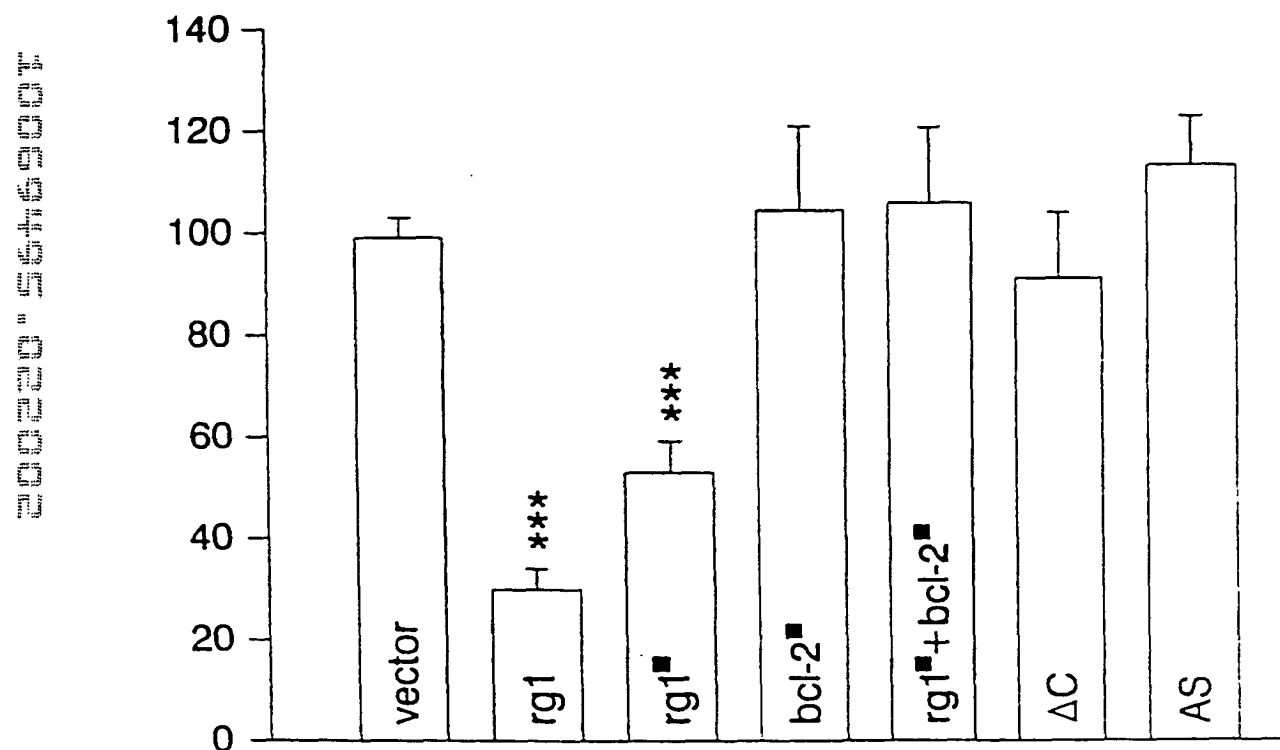


FIG. 1

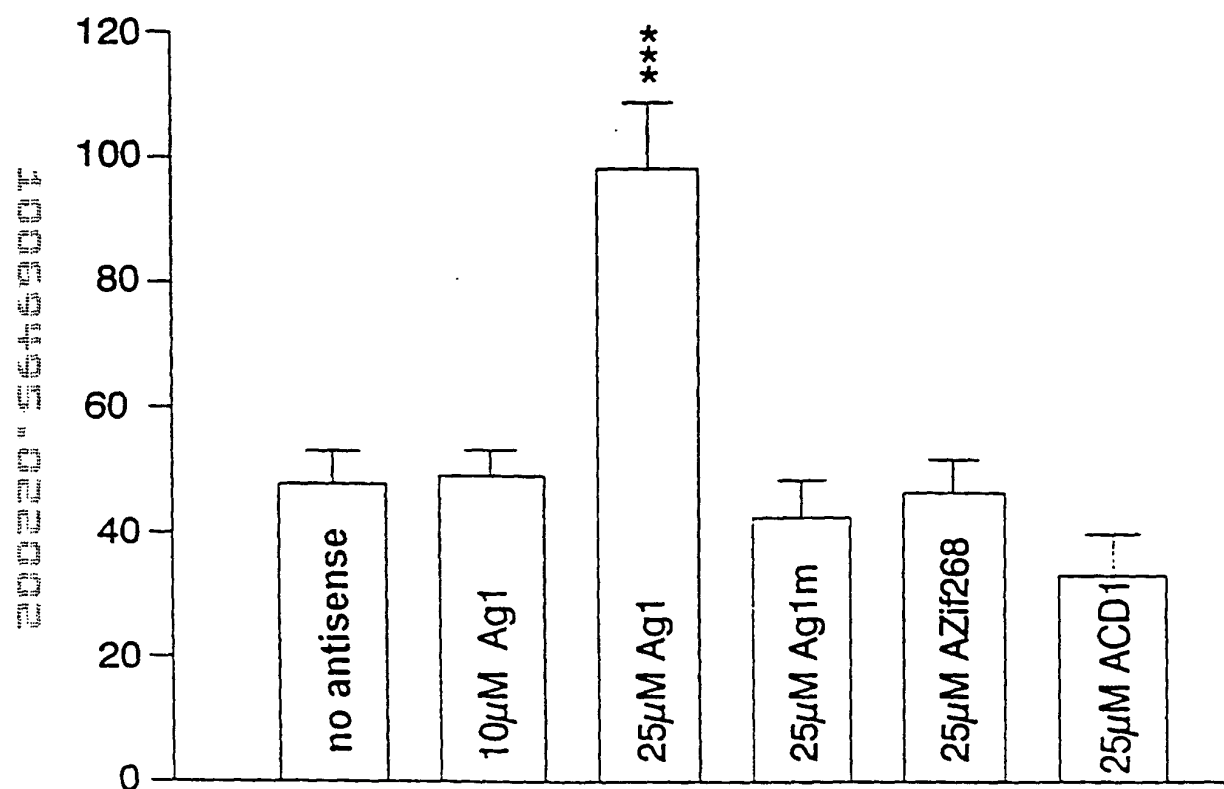


FIG. 2A

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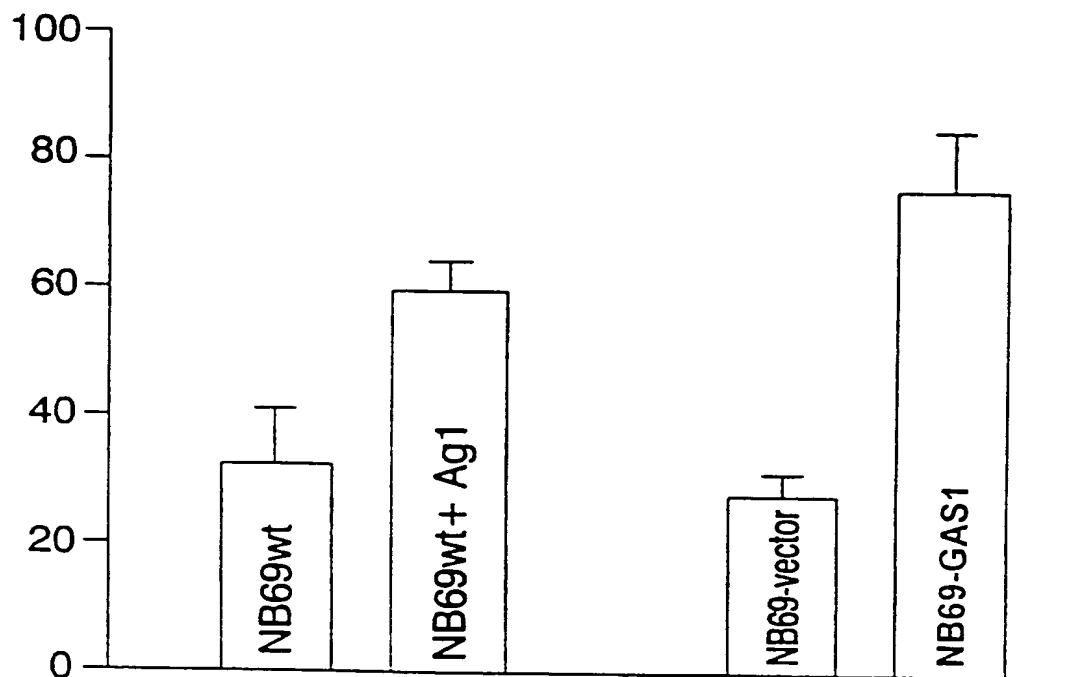
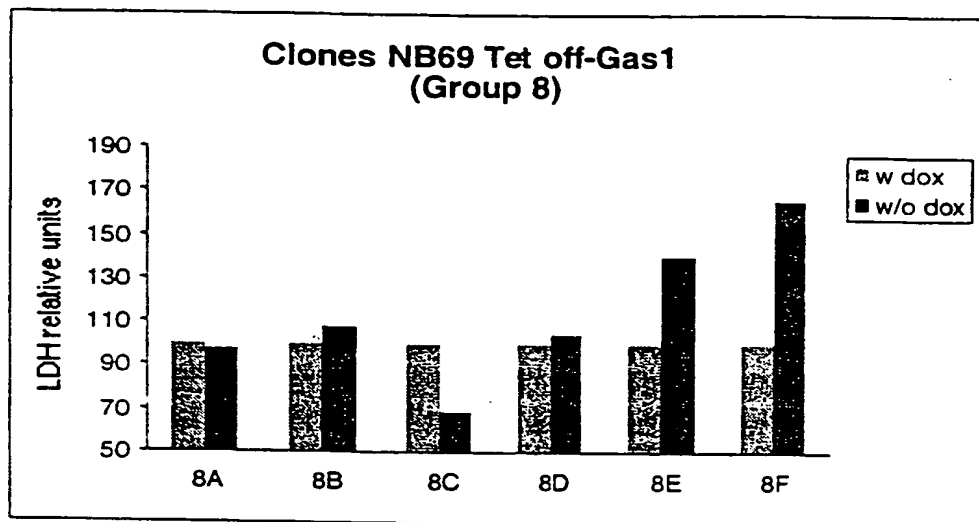


FIG. 2B

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FIGURE 3

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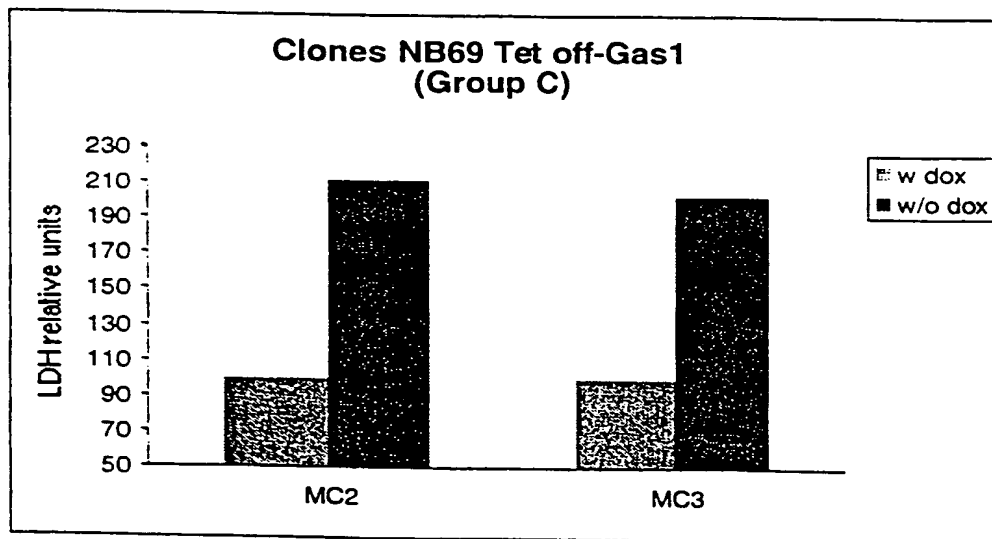
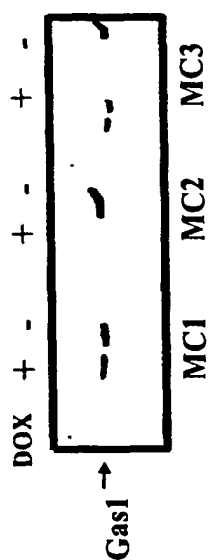
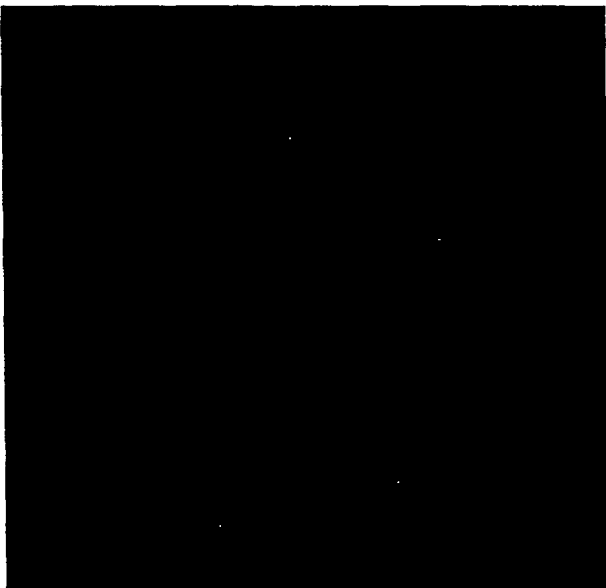


Figure 4



20020156453037

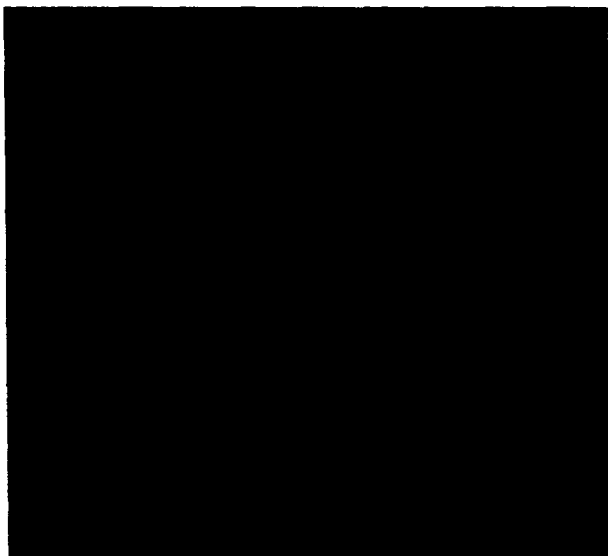
Figure 5



A



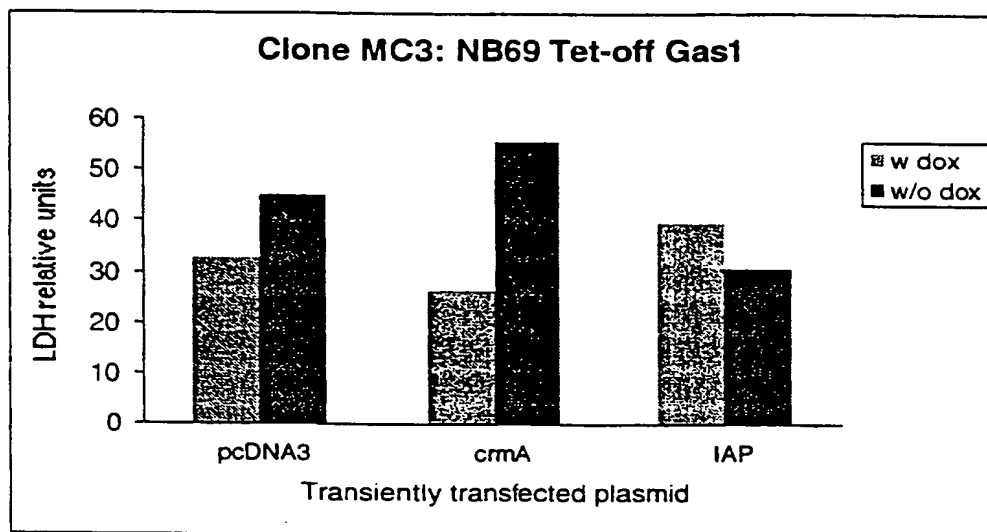
B



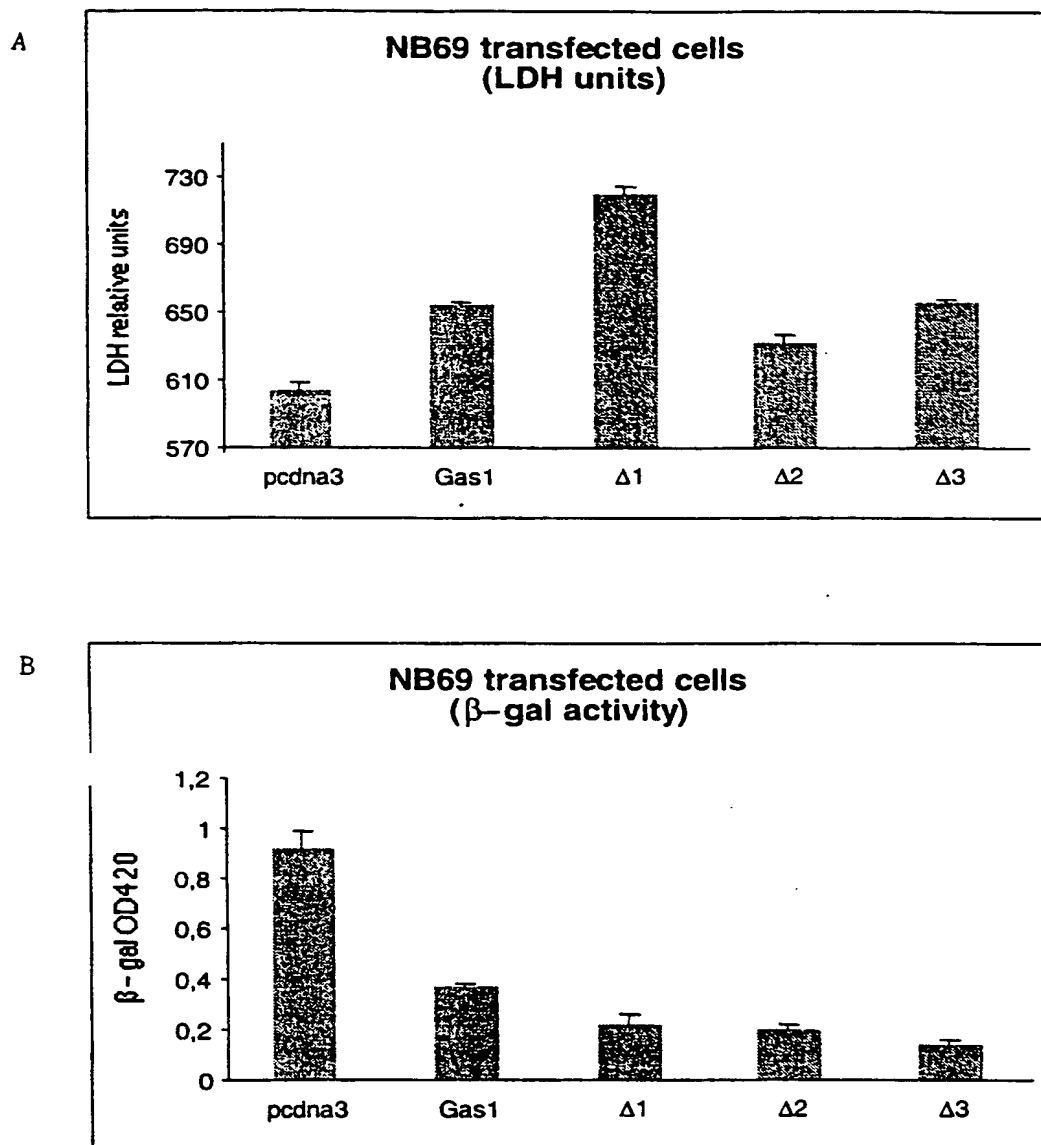
C



D

Figure 6

8/10

Figure 7

200220" 5545900"

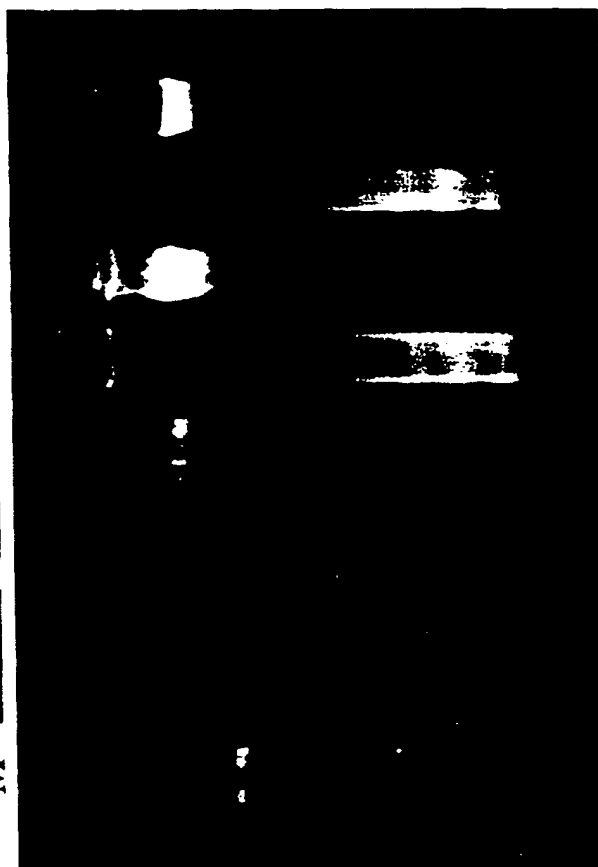
9/10

Figure 8

100 nM, Staurosporine

2h 12h 24h

M



NB69 wt

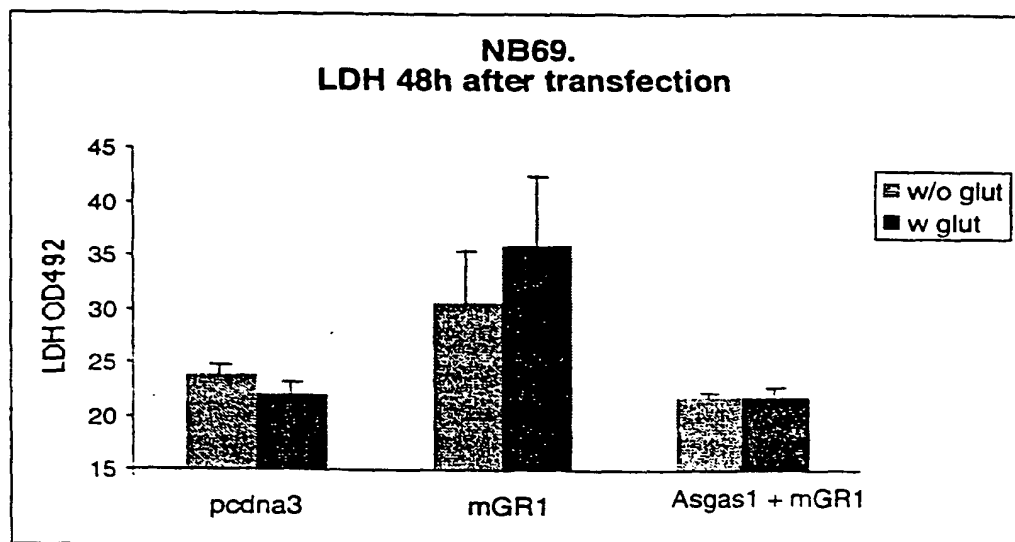
NB69 ASGas1

+ + + + + + + +

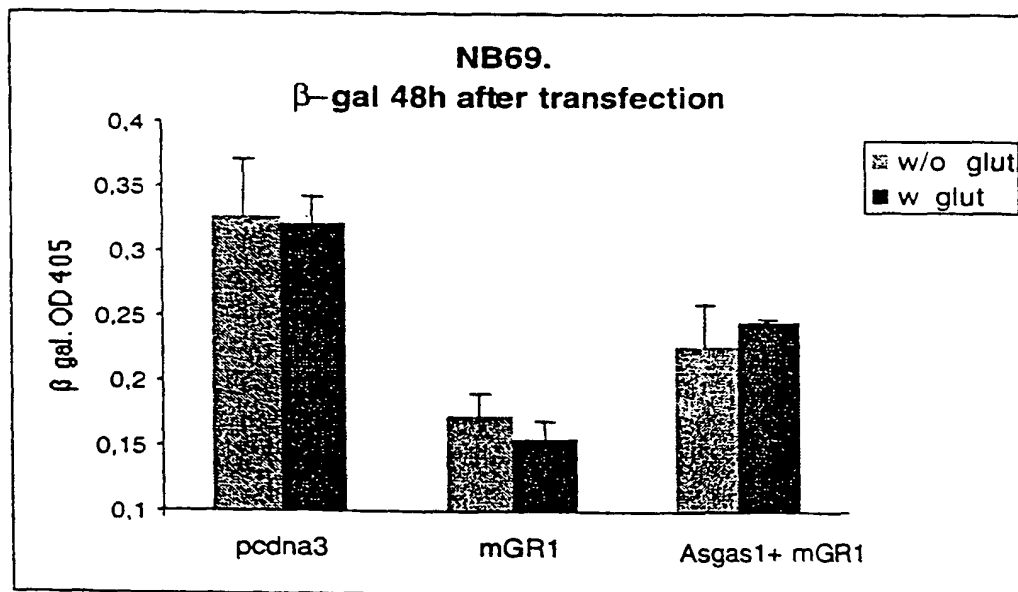
10/10

Figure 9

A



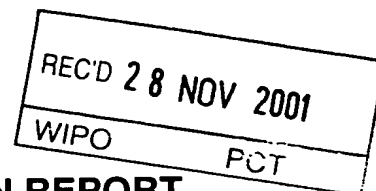
B



REPLACED BY
ART 34 AMST

PATENT COOPERATION TREATY

PCT



INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

14

Applicant's or agent's file reference JAB 1526-PCT	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/EP00/08182	International filing date (day/month/year) 21/08/2000	Priority date (day/month/year) 24/08/1999
International Patent Classification (IPC) or national classification and IPC C12N15/12		
Applicant JANSSEN PHARMACEUTICA N.V.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 8 sheets, including this cover sheet.

- ☒ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 10 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☒ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand 31/01/2001	Date of completion of this report 26.11.2001
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Trommsdorff, M Telephone No. +49 89 2399 7361 

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP00/08182

I. Basis of the report

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17):*)
Description, pages:

2-37 as originally filed

1 as received on 06/11/2001 with letter of 05/11/2001

Claims, No.:

1-53 as received on 06/11/2001 with letter of 05/11/2001

Drawings, sheets:

1/9-9/9 as originally filed

Sequence listing part of the description, pages:

1-6, as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
☐ the language of publication of the international application (under Rule 48.3(b)).
☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☒ contained in the international application in written form.
☒ filed together with the international application in computer readable form.
☐ furnished subsequently to this Authority in written form.
☐ furnished subsequently to this Authority in computer readable form.
☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
☐ The statement that the information recorded in computer readable form is identical to the written sequence

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP00/08182

listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:
- ☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

6. Additional observations, if necessary:

III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

1. The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- ☐ the entire international application.
- ☒ claims Nos. 17-22, 43-45, 46-51 (partly).

because:

- ☐ the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):
- ☐ the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):
- ☐ the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- ☒ no international search report has been established for the said claims Nos. 17-22, 43-45, 46-51 (partly).

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

- ☐ the written form has not been furnished or does not comply with the standard.
- ☐ the computer readable form has not been furnished or does not comply with the standard.

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP00/08182

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims	1-16, 24-40, 42
	No:	Claims	23, 41, 46-53
Inventive step (IS)	Yes:	Claims	1-16
	No:	Claims	23-42, 46-51
Industrial applicability (IA)	Yes:	Claims	24-42, 46-53
	No:	Claims	1-16, 23: no opinion

**2. Citations and explanations
see separate sheet**

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:
see separate sheet

1. Cited documents

The following documents (D) are referred to in this communication; the numbering is the same as in the search report and will be adhered to in the rest of the procedure:

- D1: EVDOKIOU A. & COWLED P.A.: 'Growth-regulatory activity of the growth arrest-specific gene, gas1, in NIH3T3 fibroblasts' EXP. CELL RES., vol. 240, 1 May 1998 (1998-05-01), p.359-367
- D2: LEE T.C. ET AL.: 'Myc represses transcription of the growth arrest gene gas1.' PROC. NATL. ACAD. SCI. USA, vol. 94, November 1997 (1997-11), p.12886-12891
- D5: DEL SAL G. ET AL.: 'The growth arrest-specific gene, gas1, is involved in growth suppression' Cell, vol.70, 21 August 1992 (1992-08-21), p.595-607 cited in the application
- D6: DEL SAL G. ET AL.: 'Structure, function and chromosomal mapping of the growth-suppressing human homologue of the murine gas1 gene' PROC. NATL. ACAD. SCI. USA, vol. 91, March 1994 (1994-03), p.1848-1852, cited in the application

2. Re Item III

Non-establishment of opinion with regard to novelty, inventive step and industrial applicability

- 2.1. Claims 17-22, 43-45 and in part 46-51 relate to compounds capable of modulating Gas1 activity and/or physiological roles, without however giving a true technical characterization. Moreover, no such compounds are defined in the application. Consequently, said claims are ambiguous and vague, and their subject-matter is not sufficiently disclosed and supported in compliance with Art. 5 and 6 PCT. No search can be carried out for such purely speculative claims, the wording of which is, in fact, a mere recitation of the results to be achieved.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examination Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during Chapter II procedure.

3. Re Item V

Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

- 3.1. Claims 1-16 are directed to a method for inhibiting the lethal effect of a protein by inhibiting the function or expression of Gas-1.

Although D1 discloses the effect of Gas-1 antisense transfection on cell growth, no prior art teaches or suggests the effect of gas-1 expression inhibition on other proteins of the same pathway.

Hence, the subject-matter of claims 1-16 is novel and inventive (Art. 33(1)-(3) PCT) (see however the objections for lack of clarity of the claims under § 4).

- 3.2. Claims 24-28 and 31-40 are directed to nucleic and amino acid sequences of Gas-1, complementary sequences and expression vectors containing said sequences. Since the rat gas-1 sequence is not disclosed in the prior art, said sequences are novel (Art. 33(1) and (2) PCT).

D5 and D6 however disclose the murine and the human sequences of gas-1, respectively. The sequences from said documents differ from the claimed sequences only in the species from which they were cloned.

The objective technical problem to be solved was thus to isolate the gas-1 gene sequence from rat. Since this gene is highly conserved and since there exists a high degree of homology between the murine and the rat sequence, the skilled person trying to solve the underlying problem would not have encountered any technical problems using standard procedures of molecular biology (DNA hybridization, PCR with degenerate primers, etc.).

Moreover and as discussed below, the apoptotic property of Gas-1 is an intrinsic characteristic of the protein which is not species- but only cell-specific and thus shared by the murine and the human Gas-1. Hence, said sequences could have been used instead of the rat sequences to carry out the experiments of the invention and would have led to the same result. Thus, the Gas-1 molecule from rat as such does not represent any technical advantage over Gas-1 proteins from other species and can therefore not be considered as inventive.

Hence, the subject-matter of claims 24-28 and 31-40 lacks an inventive step (Art. 33(1) and (3) PCT).

3.3. D1 shows that in NIH3T3 cells transfected with a gas-1 gene under the control of a dexamethasone-inducible promoter the growth rate is greatly inhibited when Gas-1 is expressed (p.363, Fig.3). Some of the cells displaying a giant cell morphology with a very large cytoplasm and small nucleus die after 2 weeks (p.361, 3rd paragraph). Expression levels of Gas-1 are measured by Northern Blot (p.362, Fig.2).

When NIH3T3 cells are transfected with a gas-1 antisense construct, Gas-1 expression is inhibited and cells grow in a criss-cross manner and are no longer contact-inhibited during growth (p.364, Fig. 6).

Hence, the method disclosed in D1 contains all the technical features of the subject-matter of claims 23 and 41 and is therefore prejudicial to the novelty of said claims (Art. 33(1) and (2) PCT).

3.4. Since the human and the rat gas-1 genes are only 43% identical, the antisense molecule used in D1 does not fall under the scope of the subject-matter embraced by claims 29 and 30. However, as mentioned above the method described in D1 is the same as in the application: cells expressing Gas-1 are transfected with an antisense molecule, the methods only differ in the origin of the DNA which is from human in D1 and from rat in the claims. A mere difference in the species from which the DNA used originates cannot justify an inventive step for said claims (see above). Hence, the subject-matter of claims 29 and 30 and related claims 42 and 46-51 is not inventive (Art. 33(1) and (3) PCT).

3.5. D2 shows that myc represses the transcription of gas-1 and is thus a negative regulator of the gas-1 gene: in rat embryo fibroblast cells gas-1 expression is normally high and myc is not detectable. When said cells are transfected with a myc construct, gas-1 expression is repressed (p.12887, Fig. 1).

Hence, the method disclosed in D2 is prejudicial to the novelty of claims 46-51 (Art. 33(1) and (2) PCT).

D2 (and also D6, Fig.3) further describes a polyclonal serum raised against murine Gas-1. Due to the high homology between murine and rat Gas-1, said polyclonal antibody would also recognize rat Gas-1 and is thus novelty destroying to claims 52 and 53 (Art. 33(1) and (2) PCT).

3.6. Claims 1-16, 23 (as far as in vivo methods are envisaged) relate to subject-matter

considered by this Authority to be covered by the provisions of Rule 67.1(iv) PCT. Consequently, no opinion will be formulated with respect to the industrial applicability of the subject-matter of these claims (Article 34(4)(a)(i) PCT).

4. Re Item VIII

Certain observations on the international application

The subject-matter of the claims lacks clarity for the following reasons (Art. 5, Art. 6 PCT):

- 4.1. The expression "derivative" used in claims 1, 2, 5, 16, 23, 33, 41 and 46 is unclear since any proteic molecule can be considered as a "derivative" of any other molecule given enough substitutions, mutations, alterations, etc. The fact that said expression is defined in the description does not remedy the unclarity, since the claims must be clear and concise by themselves (Art. 6 PCT). Hence, only derivatives of Gas-1 that are also capable of inducing apoptosis can be claimed.
- 4.2. The subject-matter of the claims is not fully supported by the description for the following reasons (Art. 6 PCT):
The applicants show in example 3 that antisense expression of Gas1 protects against excitotoxic death or death induced by staurosporine. No working examples with other molecules are given. It is however not foreseeable and unlikely that the method claimed works with any "protein which is otherwise lethal to said cell in itself". Only proteins involved in the same pathway as Gas-1 are likely to be affected by Gas-1 antisense expression.
Therefore, the subject-matter claimed is too broad and should be restricted to said molecules.
- 4.3. D6 shows that Gas-1 provokes growth arrest in fibroblasts and some tumor cell lines. Hence, the teaching of the prior art stands in contrast to the present invention since it clearly indicates that Gas-1 does not induce cell death in any given cell line. Since the inventors only showed that neuronal cell lines undergo cell death when expressing Gas-1, the claims should be restricted to said cell type.

- 1 -

GAS1 POLYPEPTIDES

The present invention is concerned with methods of identifying compounds capable of preventing or accelerating Gas1 mediated cell death.

- 5 The Gas1 gene encodes a membrane protein which has been associated with the G0 phase of proliferative arrest and cell cycle exit in rat fibroblasts deprived of serum. Based upon its antiproliferative effects and its functional dependency to p53, Gas1 has also
- 10 been associated with antitumour like activity. (Evdokiou A. and Cowled P.A., 1998, Exp.Cell Res. (240), 359-367; Schneider et al., 1988 Genes specifically expressed at growth arrest in mammalian cells, Cell 54:787-793; Del Sal et al, 1992, the
- 15 growth arrest specific gene, Gas1, is involved in growth suppression, Cell 70:593-607; Del Sal et al, 1994. Structure, function and chromosome mapping of the growth suppressing human homologue of the murine Gas1 gene, Proc. Natl. Acad. Sci. USA 91; 1848-1852).
- 20 The structural conformation of the Gas1 protein deduced from the amino acid sequence thereof, indicates the presence of two transmembrane segments. Except for a hypothetical RGD domain (Arginine-Glycine-Aspartic acid) which is known to interact with
- 25 integrines, Gas1 does not show any described domain that relates to its cell cycle arrest function. Recently, mRNA Gas1 induction during involution of the prostate, mammary gland and the ovarian luteal body, as a result of castration, lactation and birth
- 30 delivery arrest, respectively, has been observed (Jaggi et al., 1996, Regulation of a Physiological Apoptosis: Mouse mammary Involution. J. Davy Sci. 79: 1074-1084).
- From expression studies, the present inventors have
- 35 surprisingly found that Gas1 overexpression induces cell death in various cell types, such as, neurons and

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CLAIMS

1. A method of inhibiting the lethal effect of expressing an otherwise lethal protein in a cell,
5 wherein said protein normally induces the expression or activates either the Gas1 protein or a protein in the signal transduction pathway of which Gas1 is a component, said method comprising:
- 10 (a) providing a cell, tissue or organism having (i) a nucleotide sequence encoding a Gas1 protein, or a derivative thereof, which is capable of inducing apoptosis in said cell and (ii) a further nucleotide sequence
15 encoding a protein which is otherwise lethal to said cell in itself or in response to a lethal stimulus in the presence of Gas1;
- (b) inhibiting function and/or expression of said Gas1 protein or derivative thereof; and
- 20 (c) expressing said sequence encoding said otherwise lethal protein.
2. A method of identifying compounds which inhibit or enhance expression or activity of proteins which are lethal to a cell, tissue or organism,
25 wherein said proteins normally induce the expression or activates either the Gas1 protein or a protein in the signal transduction pathway of which Gas1 is a component, said method comprising:
- 30 (a) providing a cell, tissue or organism comprising a nucleotide sequence encoding a Gas1 protein or a derivative thereof, which is capable of inducing apoptosis in said cell, and ii) a further sequence encoding a
35 protein which is otherwise lethal to said cell in itself or in response to a lethal stimulus in the presence of Gas1;

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- (b) inhibiting function and/or expression of said Gas1 protein or derivative thereof or a protein in the apoptotic pathway of which Gas1 is a component;
- 5 (c) expressing said sequence encoding said otherwise lethal protein;
- (d) contacting said cell with a compound to be tested; and
- 10 (e) monitoring the effect of said compound on said otherwise lethal protein compared to an identical cell which has not been contacted with said compound.

3. A method according to claim 1 or 2 wherein
15 said expression or activity of Gas1 protein is inhibited by providing a nucleic acid molecule in said cell which is capable of hybridising to mRNA corresponding to Gas1 DNA to prevent expression thereof.

20

4. A method according to claim 1 or 2 wherein said expression or activity of said Gas1 protein is inhibited by inhibiting the expression or activity of a protein in the pathway of which Gas1 is a component.

25

5. A method according to any of claims 1 to 4 wherein said cell is induced to express said Gas1 protein by transfecting said cell with an inducible expression vector encoding a Gas1 protein or a
30 derivative thereof.

6. A method according to claim 5 wherein said cell is induced to express said Gas1 protein by contacting said cell with an inducing agent, such as
35 muristerone.

- 40 -

7. A method according to any of claims 1 to 6 wherein said further sequence encoding said otherwise lethal protein is expressed by providing it on a suitable expression vector.

5

8. A method according to any of claims 1 to 7 wherein said lethal protein is a highly expressed recombinant protein.

10

9. A method according to any of claims 1 to 7 wherein said otherwise lethal protein comprises any of a glutamate, NMDA, AMPA or kainate receptor.

15

10. A method according to claim 9 wherein said glutamate receptors comprises any of a type 1 to 8 metabotropic receptor.

20

11. A method according to any of claims 3 to 9 wherein said nucleic acid molecule is provided as an oligonucleotide or as a vector including a nucleotide sequence of said nucleic acid molecule.

25

12. A method according to claim 11 wherein said nucleic acid molecule comprises an oligonucleotide consisting of the nucleotide sequence depicted in Sequence ID No. 5.

30

13. A method according to claim 11 wherein said nucleic acid molecule further comprises ribozyme or DNAzyme activity.

35

14. A method according to any of claims 1 to 13 wherein said Gas1 protein is of mammalian origin.

15. A method according to claim 14 wherein said Gas1 protein is from any of a human, mouse or rat.

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16. A method according to claim 14 or 15 wherein said Gas1 protein comprises the amino acid sequence depicted in either of Sequence ID No. 2 or 4 or a derivative thereof.

5

17. A compound identifiable as an inhibitor or an enhancer of expression or activity of an otherwise lethal protein according to the methods of any of claims 2 to 15.

10

18. A pharmaceutical composition comprising a compound according to claim 17 together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

15

19. A compound according to claim 17 for use as a medicament.

20. Use of a compound identifiable as an enhancer of expression or activity of a lethal protein according to claim 17 in the manufacture of a medicament for treating a disease condition mediated at least in part by underexpression or reduced activity of said otherwise lethal protein or a protein in the pathway of which said otherwise lethal protein is a component.

21. Use of a compound identifiable as an inhibitor of expression or activity of an otherwise lethal protein according to claim 17 in the manufacture of a medicament for treating a disease condition mediated at least in part by overexpression or reduced activity of said otherwise lethal protein or a protein in the pathway of which said otherwise lethal protein is a component.

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22. Use according to claim 20 or 21 wherein said disease condition comprises any of a neurological disorder, a cardiovascular disorder, an autoimmune disorder, a neuroendocrine disorder or cancer.

5

23. A method of monitoring the severity of a disease condition mediated by cellular apoptosis in a cell, tissue or organism comprising measuring the level of expression or activity of a Gas1 protein or a derivative thereof in said cell or tissue or organism.

10

24. A nucleic acid molecule encoding a rat Gas1 protein or a derivative thereof, comprising an amino acid sequence according to Sequence ID No. 2.

15

25. A nucleic acid molecule encoding a protein capable of inducing apoptosis in a cell comprising an amino acid sequence according to Sequence ID No. 4 or a nucleic acid molecule complementary thereto.

20

26. A nucleic acid molecule according to claim 24 or 25 which is a DNA sequence.

25

27. A nucleic acid molecule according to claim 26 which is a cDNA molecule.

28. A nucleic acid molecule according to claim 24, 26 or 27 comprising the sequence of nucleotides according to Sequence ID No. 1.

30

29. An antisense molecule capable of hybridising to the nucleic acid molecule of any of claims 24 to 28 under conditions of high stringency.

35

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30. An antisense molecule according to claim 29 comprising a sequence of nucleotides according to Sequence ID No. 3 or 5.

5 31. A Gas1 protein encoded by a nucleic acid molecule according to any of claims 24 to 28.

32. A Gas1 protein comprising an amino acid sequence illustrated in Sequence ID No. 2.
10

33. A protein capable of inducing apoptosis in a cell comprising an amino acid sequence according to Sequence ID No. 4 or a derivative thereof.

15 34. An expression vector comprising a nucleic acid molecule according to any of claims 24 to 28.

35. An expression vector according to claim 34 wherein said vector is any of a plasmid, virus or
20 phage derived vector.

36. An expression vector according to claim 34 or 35 comprising a tissue or cell specific promoter.

25 37. An expression vector according to any of claims 34 to 36 further comprising a sequence encoding a proapoptotic protein.

38. An expression vector according to any of
30 claims 34 to 37 which is inducible for expression of said Gas1 polypeptide or said polypeptide capable of inducing apoptosis in a cell.

39. An expression vector according to claim 38
35 comprising the inducible vector pIND.

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40. A host cell, tissue or organism, transformed, transfected or infected with a vector according to any of claims 34 to 39.

5 41. A method of identifying compounds capable of preventing or accelerating Gas1 mediated cell death comprising the steps of:

- 10 (a) contacting a cell, tissue or organism expressing Gas1 or a derivative thereof capable of inducing apoptosis in a cell with said compound to be tested; and
- 15 (b) monitoring the effect of said compound on the state of said cell compared to a cell which has not been contacted with said compound.

20 42. A method according to claim 41 wherein said cell in step (a) comprises a cell according to claim 40.

 43. A compound identifiable as an inhibitor or an accelerator of cell death according to the method of claim 41 or 42.

25 44. A pharmaceutical composition comprising a compound according to claim 43, together with a pharmaceutically acceptable carrier, diluent or excipient therefor.

30 45. A pharmaceutical composition comprising any of a nucleic acid molecule according to any of claims 24 to 28, an antisense molecule according to claim 29 or 30, a protein according to any of claims 31 to 33 together with a pharmaceutically acceptable carrier,

35 diluent or excipient therefor.

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46. Use of any of a nucleic acid molecule according to any of claims 24 to 28, an antisense molecule according to claim 29 or 30, a protein according to any of claims 31 to 33, a compound according to claim 43 or a pharmaceutical composition according to claim 44, in the manufacture of a medicament for the prevention or treatment of a disease condition mediated at least in part by expression of a Gas1 protein or a derivative thereof capable of inducing apoptosis in a cell or a protein in the pathway of which Gas1 is a component.

47. Use according to claim 46 wherein said disease condition is any of a neurological disorder, a cardiovascular disorder, an autoimmune disorder, a neuroendocrine disorder or an oncological disorder.

48. Use according to claim 47, wherein said neurological disorder is any of, Parkinson's disease, Alzheimer's disease, Huntington's disease, amyotrophic lateral sclerosis, a neurological condition caused by thrombosis or cerebral trauma.

49. Use according to claim 47, where said cardiovascular disorder is a heart attack.

50. Use according to claim 47, wherein said autoimmune disorder is multiple sclerosis.

51. Use according to claim 47, wherein said neuroendocrine disorder is necrosis of the pituitary gland.

52. An antibody capable of binding to a protein according to any of claims 31 to 33.

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53. A pharmaceutical composition comprising an antibody according to claim 52 together with a pharmaceutically acceptable carrier, diluent or excipient therefore.